

Dear Konstantin,

attached you find our detailed answers to all the questions and remarks of both reviewers together with our accordingly revised manuscript (with all changes are highlighted in yellow).

We are thankful for the general positive evaluation of both reviewers of our experimental work
5 and on our improved MRI-DNP enhancements achieved under continuous flow conditions and we revised our manuscript according to the specific comments and suggestions of both reviewers, regarding the specificity of our DNP approach using nitroxides and its potential use for medical applications in MRI. We hope very much that with this issues discussed in more detail our manuscript can now be accepted for publication in the Magnetic Resonance journal.

10 With best regards

Thomas (on behalf of all authors)

Comments to Reviewers:

Reviewer 1:

15 This is a new and thorough study describing the development and testing of new open Fabry-
Perot resonator for applications in DNP/MRI experiments. The research is topical and well
justified; the results are of high quality and are nicely presented in the paper. The authors
achieved two-fold increase in signal enhancement compared to cylindrical resonator in similar
conditions, but more important, they outlined possible directions for further development in
continuous flow polarizers for DNP. The research is well planned, it starts from simulations and
20 continues to construction of the resonator and then its testing using phantom system inside 1.5
T MRI scanner. In my opinion, this paper can be published as is.

We are grateful to this referee for the positive evaluation of our work and the useful comments
(see below).

25 However, I provide some comments which authors might wish to consider in the final version of
the paper.

Specific comments: 1. Thinking about a broad reader in fields of NMR/EPR/DNP, it would be
good to explain the choice/influence of such parameters used as TEMPOL concentration (28
mM) and temperature of water in the resonator. Do these parameters impact the
generation/relaxation of polarization critically in current setup or not?

30 Many thanks for this comment. The TEMPOL concentration was optimized in our previous study
by measuring the DNP enhancement for concentrations in the range between 20 mM and 40
mM. We have added this comment to the manuscript:

*The best DNP enhancements have been obtained with a concentration of 28 mM
TEMPOL as described previously (Denysenkov et al., 2017).*

35 2. It is conceivable that heating of water by microwaves lowers its viscosity and makes the flow
faster. The comment on pros and cons of this would be welcome, especially since the 'flow rate'
is in the title of the paper.

We discuss the pros and cons of the microwave heating now at several points in the revised
manuscript in more detail.

40 3. Can any strategies of external cooling be foreseen for this type of the resonator?

External cooling of the resonator body itself is of course easily possible. However, as described in the manuscript, it would reduce the DNP enhancement because of the rise of the DNP coupling factor with temperature. Therefore, it would only be necessary if overheating inside the resonator occurs, which is not the case for our available microwave power. Otherwise cooling
45 the hyperpolarized liquid after the resonator by a chiller, as mentioned in the manuscript and published by us earlier is the more efficient way.

Technical corrections: The paper is written very well and clearly. Only a few typos were found:
Line 226: “volume, which agreed very with the value” lacks the word “well”

Thank you, is corrected in the revised manuscript.

50 Lines 316-317: please, change “planed” to “planned”,

Thank you; is corrected in the revised version of the manuscript.

and maybe reformulate “supervised DNP supervision”

Thank you, is reformulated in the revised manuscript

Reviewer 2:

55 Focus of the manuscript is the design and construction of a microwave structure that allows for improved saturation of EPR transitions in Overhauser DNP applied to MRI investigations at high magnetic field (1.5 T). The development of the hardware is a very nice piece of work; the achieved signal enhancement is quite substantial.

We are grateful to this referee for the positive evaluation of our experimental work and also the
60 critical comments below.

The potential in real MRI application, however, is not satisfactorily discussed, whereas the problems of alternative methods got much space in the Introduction; for example, drawbacks of Gd-based contrast agents are discussed in detail, while disadvantages of nitroxide dopants as used here stay unmentioned.

65 The reviewer is right. We did not intend to claim that DNP with nitroxide is superior to the other approaches. Therefore, we elaborate in the introduction and also in the discussion of our revised

manuscript in more detail on the advantages and also the limitations of nitroxide spin labels for DNP and MRI applications.

70 The concluding sentence of the manuscript concerning angiography applications is, therefore, not compelling and must be modified.

See above. We modified our statement more conservatively.

In addition, part of the text seems to be written only for the small group of microwave specialists, but not for the general magnetic resonance community.

75 As an example, the parameter S11 in Figures 3 and 8 is taken as granted, but should be defined; in Figure 4 and in Conclusion the microwave field B1 is given in the strange unit of A/m. Some modifications in this regard could improve the manuscript considerably.

Many thanks. We changed S11 to reflection coefficient in Fig. 3 and 8 and we give the microwave field now in Gauss in Figure caption 4 and in the text of the revised manuscript.

80 Also, reason for the choice of TEMPOL as radical dopant is missing; no word is lost about the concentration of 28 mM, although it probably affects the proton T1 relaxation, which is a crucial factor for any application of the method.

The TEMPOL concentration was optimized in our previous study; we added this sentence in the manuscript (see comment to reviewer 1 above). Additionally we included a description of the potential procedure to remove the radical from solution by immobilization.

85 In summary, the manuscript is not yet coherently written and needs modification before it is suited for publication.

We believe that our revised manuscript is substantially improved by taking the constructive comments of both reviewers into consideration and can now be accepted for publication in *Magnetic Resonance*.

90 There are a few minor points listed below that the authors should also reconsider.

line 34: change to “optically”

Thank you, has been changed.

line 175, Figure 5: use a photo of better contrast line 210,

Thank you, has been changed.

95 [Figure 7: define the dark brown parts on the right side of the assembly](#)

A new position 6 is added to Figure 7 showing the frequency tune knob and explained in the corresponding caption.

[line 316: change: "supervised DNP supervision"](#)

Thanks, has been changed.

100

Increased flow rate of hyperpolarized aqueous solution for DNP-enhanced MRI achieved by an open Fabry-Pérot type microwave resonator

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Abstract. A continuous flow dynamic nuclear polarization (DNP) employing the Overhauser effect at ambient temperatures can be used among other methods to increase sensitivity of magnetic resonance imaging (MRI). The hyperpolarized state of water protons can be achieved by flowing aqueous liquid through a microwave resonator placed directly in the bore of a 1.5 T MRI magnet. Here we describe a new open Fabry-Pérot resonator as DNP polarizer, which exhibits a larger microwave exposure volume for the flowing liquid in comparison with a cylindrical TE₀₁₃ microwave cavity. The Fabry-Pérot resonator geometry was designed using quasi-optical theory and simulated by CST software. Performance of the new polarizer was tested by MRI DNP experiments on a TEMPOL aqueous solution using a blood-vessel phantom. The Fabry-Pérot resonator revealed a 2-fold larger DNP enhancement with a 4-fold increased flow rate compared to the cylindrical microwave resonator. This increased yield of hyperpolarized liquid allows MRI applications on larger target objects.

1 Introduction

Magnetic resonance imaging is a widespread method in clinical diagnostics providing *in vivo* information on physiological and metabolic changes in tissue. MRI is a non-invasive method because of the low excitation frequency in the radiofrequency range. However, this implies also the low sensitivity of the method, leading to long acquisition times. This can be improved by moving to higher magnetic fields, but on the cost of high prices and large technical demands. Contrast between different tissues is another concern. Contrast agents, as for example Gd-complexes are typically used to improve the contrast. However, in few cases, it induces side effects like allergic reactions and nephrogenic systemic fibrosis (Perazella, 2009, Thomsen, 2008, Kuo et al., 2007). Besides, potential long-term implications arising from the permanent deposition of the Gd-complexes in the

brain are currently under discussion (Semelka et al., 2016, Topcuoglu et al., 2020). As an alternative, hyperpolarization methods have been explored to improve sensitivity and contrast for MRI applications. Different strategies have been used so far to hyperpolarize an agent for MRI applications. **Optically** polarized noble gases as well as para-hydrogen have been used as hyperpolarized molecules for MRI (Salerno et al., 2001, Albert and Balamore, 1998, Limburn et al., 2013, Golman et al., 2001, Duckett and Mewis, 2012, Cavallari et al., 2018). Another method is DNP (dynamic nuclear polarization) where unpaired electron spins excited by microwaves are used to hyperpolarize nuclear spins. Initially this method has been used either at very low magnetic fields or with rapid field cycling (Foster et al., 1998) because microwave radiation cannot penetrate deep into living tissue, or later by hyperpolarizing molecules externally before injection. **Nitroxide radicals like TEMPOL are widespread in use for MRI DNP. They have a high Overhauser DNP transfer efficiency to water protons, especially at higher magnetic field strengths (Krummenacker et al., 2012). Furthermore, these radicals can be easily scavenged after the polarization or dissolution step which makes samples biologically compatible and also reduces the shortening of the nuclear T_1 relaxation time by the radical (Mieville et al., 2010). However, EPR spectra of nitroxides have three ^{14}N hyperfine lines that hampers a complete saturation of the EPR spectrum at lower radical concentrations. Additionally, for potential medical MRI applications the high radical concentrations needed for Overhauser DNP are not suitable for injection. They can be avoided by immobilization of the nitroxide radicals inside the microwave resonance structure on beads (McCarney and Han, 2008), but the altered dynamics makes the DNP experiments somewhat more complicated and less efficient.**

Dissolution DNP is a commercially available hyperpolarization method of liquid substrates for MRI (Olsson et al., 2006). It uses metabolites such as ^{13}C -pyruvate, which are polarized externally to the MRI magnet at very low temperatures ($\sim 1\text{ K}$) by the solid effect. Within 5 minutes a polarization of 10% can be achieved (Bornet and Jannin, 2016). After the hyperpolarization procedure is complete, the frozen substrate is rapidly transported to the imager magnet, dissolved in hot liquid, and injected into the body. The typical (dissolving and transfer) time of 5–15 seconds limits the *in vivo* usage to ^{13}C and ^{15}N . It is difficult to detect hyperpolarized protons with this approach because of the short relaxation time of water protons compared to the transfer time (Ardenkjaer-Larsen et al., 2014). Therefore, the method is mostly used for the study of cellular metabolism with pyruvate, lactate or other metabolic precursors labelled with low-gyromagnetic ratio nuclei having long relaxation times (Mishkovsky et al., 2012, Jannin et al., 2019). The observation time window is limited by the relaxation time of the hyperpolarized nuclei. Long-lived singlet states might allow to extend this time window (Pileio et al., 2006, Dumez et al., 2017).

Hyperpolarization by the Overhauser mechanism is well suited for physiological solutions under continuous flow conditions, because of the rapid polarization transfer from the unpaired electrons of the radicals to proton spins of the solvent. The polarization transfer in liquids works most efficiently at low magnetic fields. Therefore, first Overhauser DNP experiments for MRI (Guiberteau and Grucker, 1997, Krishna et al., 2002), and proton-electron double-resonance imaging (PEDRI) (Foster et al., 1998) were demonstrated at low magnetic fields of 6–15 mT. This allows irradiation of the paramagnetic radical inside the mouse or rat body in the MRI magnet. Such experiments, however, cannot be performed in clinical MRI scanners at higher magnetic fields (respectively at higher frequencies) due to the strong absorption of microwaves in living tissues, resulting in

unwanted heating. A second approach is again to hyperpolarize molecules outside of the target object. This can be done by placing a mw resonator with the radicals into the fringe field of the MRI magnet (Lingwood et al., 2012) or directly inside the MRI magnet close to the target object (Krummenacker et al., 2012). In the first case the hyperpolarization step is done at a lower magnetic field compared to the MRI detection field. This gives a higher polarization transfer efficiency, because the Overhauser effect in liquids is optimal at low magnetic fields. However, the maximal enhancement achieved at the imaging object is downscaled by the ratio between the polarizing and the imaging detection field. For example, in case of an X-band polarizer operating at $B_0 = 0.3$ T and a 1.5 T MRI scanner this reduction factor is 5-fold. In both cases the fast polarization build-up times allow for continuous delivery of hyperpolarized water, which is essential for monitoring flow and perfusion. In addition, if the hyperpolarization of water reaches a steady state, it eliminates the need for fast MR imaging sequences. In vivo injection of hyperpolarized water safely allows for perfusion imaging in interstitial spaces, localized angiography, and the visualization of brain perfusion because hyperpolarized water freely crosses the blood-brain barrier (Lingwood et al., 2012). Limitations in the accessible area arises from the fast proton spin relaxation.

In our “in-bore” 1.5 T MRI DNP setup there is no such ‘Boltzmann factor penalty’ and a water proton signal enhancement of up to 100 has been reached under static conditions (Krummenacker et al., 2012). On the other hand, the dimensions of fundamental resonators scale down inversely with the mw frequency. Technical challenges are due to the unavoidable high dielectric losses of water in the mw frequency range (Neumann, 1985). These dielectric losses of aqueous samples result in a decrease of the resonators Q-factor, and strong heating of the liquid. The heating can be minimized by applying a resonance mw structure with well separated E and B components of the applied mw field and by placing the sample in a node of the E component. Therefore, cross-section dimensions of the liquid sample inside the resonator have to be much smaller than the mw wavelength. This leads also to very short retention times in case of the flowing liquid. The attainable yield is defined by the highest possible flow rate at a maximum DNP enhancement in such non-stop-flow polarizers. It depends on: 1) - the dwell time of water molecules inside the mw polarizer, which should be longer than the proton relaxation time for an optimal DNP build-up, and 2) - the mw B_1 field strength, which defines the efficiency of saturation of the EPR transitions of the dissolved TEMPOL radicals. The B_1 value at the sample depends on the conversion factor c and the quality factor Q of the mw resonance structure, and the applied mw power P_{mw} (Eq. 1) (Poole, 1967, Rinard and Eaton, 2005).

$$B_1 = c\sqrt{Q \cdot P_{mw}} \quad (1)$$

The quality factor Q of the resonator itself depends on the geometry of the mw resonance structure and on sample properties, such as size of the sample and its dielectric constant ϵ_r .

Conveniently, cylindrical capillaries made of quartz can be used as liquid sample holders. For this reason, the natural choice of the mw resonator geometry is also cylindrical geometry, such as TE_{01n} type cavities. Such resonators have high Q factors as well as high conversion factors c for frozen solution samples and are commonly used in EPR spectroscopy (for instance, $Q = 4000$ for an unloaded TE_{012} resonator at 95 GHz mw frequency has been reported (Smirnov and Smirnova, 2001). This leads to a large B_1 field strength, which is advantageous for pulsed EPR applications. The situation is quite different for MRI DNP applications. Here the aqueous sample is in the liquid state, strongly increasing the mw absorption if the sample capillary

200 diameter is not strongly reduced. On the other hand, larger amounts of hyperpolarized liquids are preferable to be able to enhance contrast of as large as possible objects under MRI study.

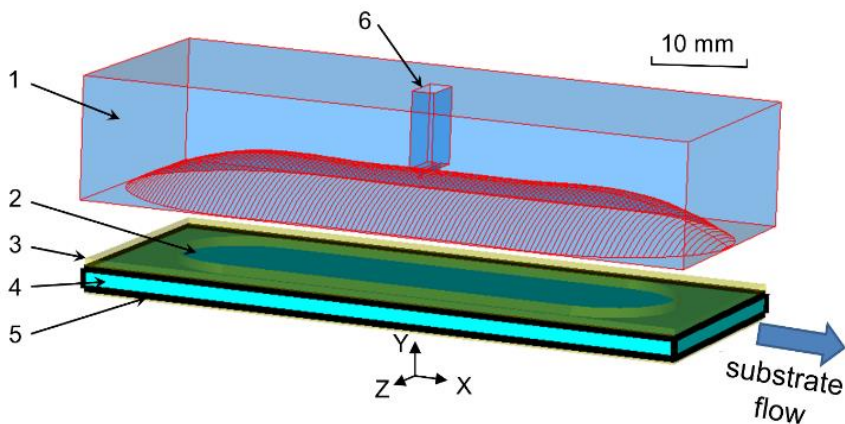
Fabry-Pérot resonators are mw resonance structures suitable for larger sample volumes, because different from fundamental mode mw cavities, the sample size is only limited in one dimension (direction of the mw excitation) much less than wavelength to avoid absorption of the E field component (Budil and Earle, 2004). Among known quasi-optical mw resonators (Lynch et al., 1988, Prisner et al., 1992, Barnes and Freed, 1997, Milikisiyants et al., 2018, Blok et al., 2004, van Tol et al., 2005, Neugebauer and Barra, 2010) a semi-confocal Fabry-Pérot resonator with the sample placed on the surface of a massive metal (copper) block acting as the plain mirror can best satisfy heat dissipation conditions (Denysenkov and Prisner, 2012).

Here we report on such a new open resonator for Overhauser DNP applications within a whole-body 1.5 T MRI scanner. Particular effort was directed at reaching a uniform (plateau like) microwave field distribution along the flow direction to obtain an efficient polarization transfer to water molecules in the polarizer. The performance of the “in-bore” MRI DNP polarizer equipped with the new resonator was tested and compared to the cylindrical TE_{013} cavity described before (Denysenkov et al., 2017).

2 Methods and Materials

215 2.1 Design and simulation of the resonator

An increase of the flow rate for a constant residence time of water molecules inside the mw cavity requires a corresponding increase of the cavity dimensions in flow direction. This can result in a higher spectral density of mw modes of the cavity, which could prevent the selective excitation of the desired mode. Excitation of other modes with similar frequency would distort the standing mw field pattern inside the cavity and thus reduce the excitation efficiency. In contrast, the use of a Fabry-Pérot open resonance structure avoids such problems. Moreover, the semi-confocal arrangement, where the thin layer liquid sample is directly placed on top of the flat copper mirror, allows effective cooling. A schematic drawing of the new Fabry-Pérot resonator for DNP is depicted in Figure 1.



225

Figure 1: Design of open mw resonance structure for MRI DNP. 1- concave mirror (copper), 2- aqueous sample, 3- fixture (brass), 4- cover plate (sapphire), 5- plain mirror (copper), 6- waveguide port. Aqueous solution flow direction is indicated by the “substrate flow” arrow.

Typically, Fabry-Pérot resonators use concave mirrors of elliptical or parabolic geometry. These mirrors provide Gaussian (or
 230 quasi-Gaussian) transverse distribution of the microwave field intensity at the mirror surface. However, for the considered
 DNP application a uniform distribution of the B_1 field along the flow direction is preferable. It facilitates the polarization
 transfer from the unpaired electron spin of the TEMPOL radical to the proton spin of the water molecules, within their residence
 time inside the resonance structure. Therefore, the shape of the concave top mirror was calculated using an inverse design
 procedure, as described before (Belousov et al., 2000). In this approach, the B_1 field distribution at the flat mirror is assumed
 235 as quasi-homogeneous with the same phase. With this boundary condition, the field propagation inside the resonator is
 calculated.

The concave mirror shape is found as a surface where the phase of the calculated field is equal to $q\pi$, where q is an integer (in
 our case, $q = 4$, so that we use the 4th axial mode of the cavity). In these simulations the exact equations of the microwave
 field propagation are used instead of the paraxial approximation for higher accuracy. The possible influence of the finite sizes
 240 of both the flat and the concave mirrors was analyzed by an iterative method after the mirror shape was fixed (Fox and Li,
 1961). For this purpose, the microwave field propagation from one mirror to the other one was iteratively calculated. For each
 passage, the phase of the microwave field components was inverted at the mirror surfaces, while the mw power traveling
 outside of the mirror surfaces was assumed as the power lost. This procedure provides easily the first eigenmode of the
 resonator with the highest Q factor. The eigenfrequency of this mode is found by satisfying the standing wave condition that
 245 the phase change along the wave round-trip in the cavity is equal to $2\pi q$. The quality factor Q of the empty resonance
 structure can be determined by the mw losses per cycle due to finite mirror sizes. The simulations show that the influence of the finite
 mirror size are much less than the power loss arising from the liquid sample and therefore negligible. The obtained B_1 field
 distribution at the surface of the flat mirror and the calculated shape of the concave mirror are shown in Figure 2.

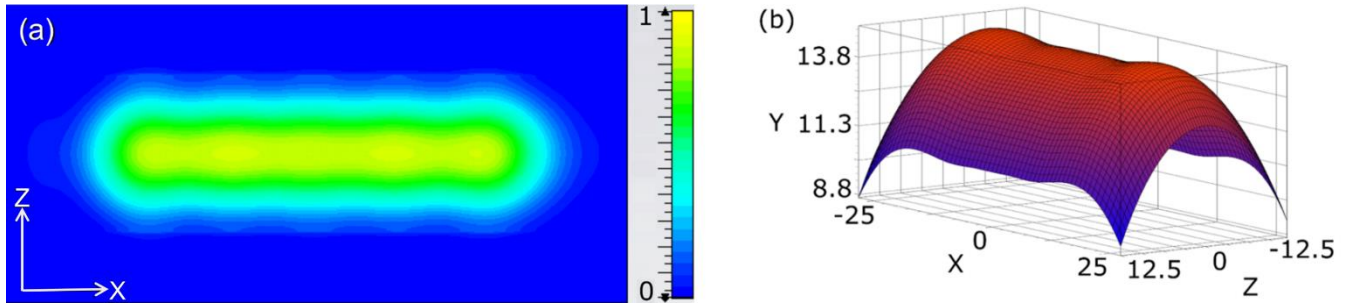


Figure 2: Microwave magnetic field distribution in open resonator. a) The simulated microwave magnetic field distribution (normalized) at the plain mirror. The substrate flow is along the horizontal X direction. b) The calculated shape of the concave mirror (scale is in mm).

255 The obtained concave mirror profile has been used further for the open resonator simulation by using CST STUDIO SUITE (Darmstadt, Germany). These simulations take into account the microwave losses in the aqueous sample and the influence of the coupling slit between the cavity and feeding waveguide. The simulated geometry is shown in Figure 1 with a 80 μm thick water layer on top of the plain mirror covered by a sapphire plate (1.5 mm), thick enough to withstand the pressure applied under flow conditions (up to 7 bar). The dielectric permittivity of pure water with a temperature close to 100 $^{\circ}\text{C}$ at 42 GHz was set to $\epsilon' = 47$, $\epsilon'' = 32$ (loss tangent $\tan\delta = 0.68$) (Andryievski et al., 2015). The resonance modes (TEM_{00} , TEM_{02} , TEM_{04})

260 of the resonator with the respective B_z distribution along the water layer are shown in Figure 3.

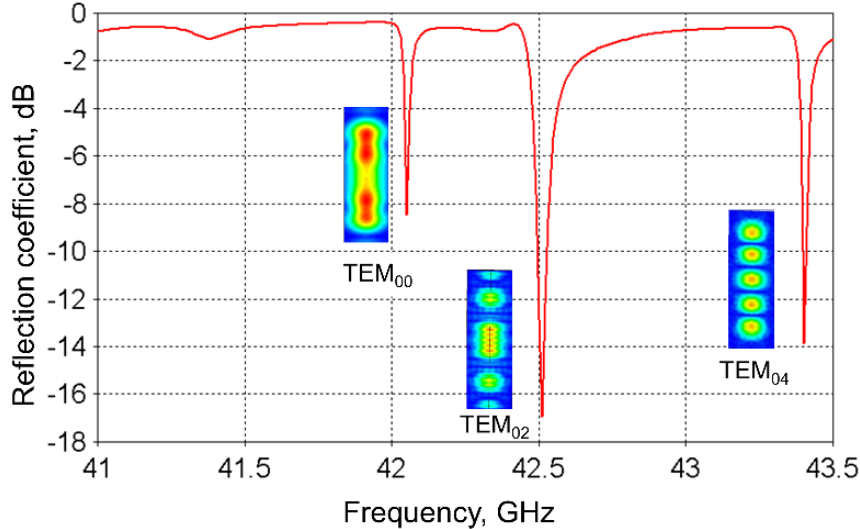


Figure 3: Simulated reflection coefficient of the open resonance structure connected to the microwave waveguide. The corresponding resonance modes are identified by calculation of the B_z field distribution at the plain mirror (shown as insets).

In the simulations, the slit width between the feeding waveguide and the resonator was optimized to achieve the most effective mw excitation. Since the mw absorbance in the water layer is strong, a slit dimension as large as $1.4 \times 5.6 \text{ mm}^2$ is needed to reach the critical coupling. Therefore, the wave scattering by the coupling slit results in some distortion of the B_1 field homogeneity at the flat mirror (Figure 4a). The simulation predicts a Q factor of the TEM_{00} mode of the resonator of 800 and a maximal conversion factor c of about $3 \text{ G/W}^{1/2}$. Uniformity of the RF magnetic field over the sample volume is also an important parameter beside the $B_{1\text{max}}$ value (Mett et al., 2019) that can be evaluated by calculating the mean value of B_1 . In the Fabry-Pérot resonator the B_1 mean value over the sample volume is about $1.55 \text{ G/W}^{1/2}$ that is slightly higher in comparison to the TE_{013} cylindrical cavity ($1.4 \text{ G/W}^{1/2}$) (Figure 4b) used in our MRI DNP experiments previously (Denysenkov et al., 2017). The simulations show that the effectively irradiated area on the plain mirror surface is about $40 \times 12 \text{ mm}^2$, corresponding to $40 \mu\text{l}$ volume of aqueous solution inside the resonator for an $80 \mu\text{m}$ thick layer.

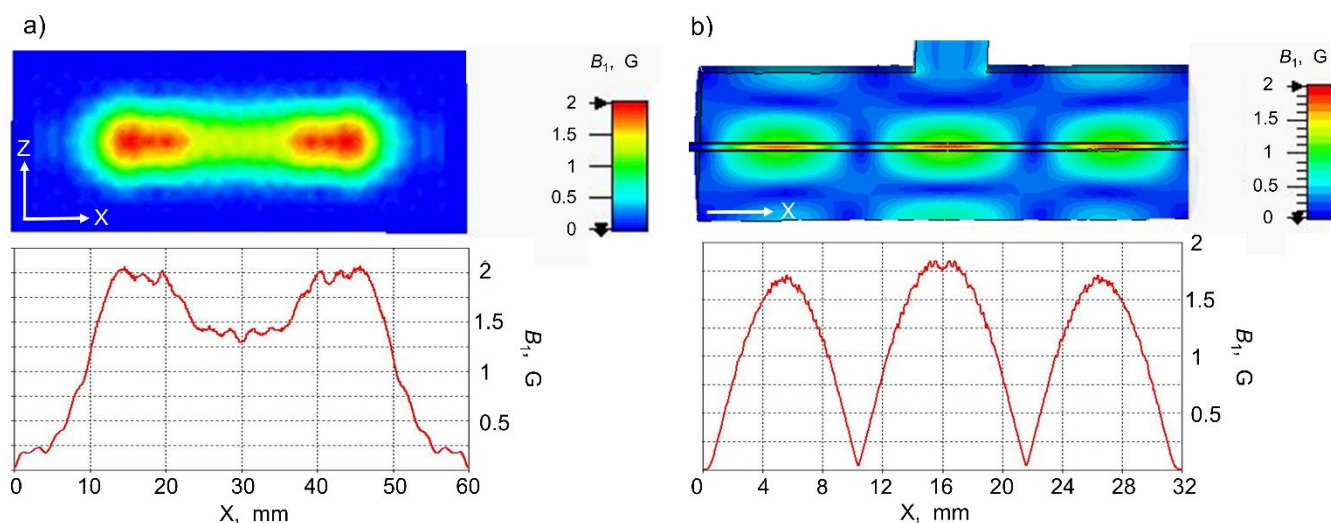


Figure 4: Comparison of field distribution of open resonator with cylindrical resonator. a) **Calculated B_1 field** distribution at the plain mirror surface of the Fabry-Pérot resonator in presence of an aqueous layer of $80 \mu\text{m}$ for the TEM_{00} mode; b) B_1 distribution along the 0.5 mm ID quartz capillary with water along the TE_{013} cylindrical cavity. The B_1 value is calculated for an input mw power of 0.5 W .

2.2 MRI DNP setup

MRI detection was performed by a 1.5 T MRI scanner (Magnetom Aera, Siemens, Erlangen, Germany) using a standard 8-channel surface pick-up coil (NORAS, Germany) positioned horizontally in the iso-center of the magnet bore (Figure 5).



Figure 5: In-bore Overhauser DNP-MRI setup. 1- mw resonator, 2- waveguide between the mw resonator and the microwave board, 3- syringe pump, 4- microwave board.

According to the “in-bore” concept, the polarization transfer from the TEMPOL radical electron spins to the water protons as well as the MRI detection are accomplished at ambient temperature in the same 1.5 T magnetic field. This corresponds to an EPR excitation frequency of 42 GHz [Denysenkov et al., 2017].

All MRI images were taken on a meander-shaped phantom made of a 0.4 mm ID and 300 mm long PTFE tubing shown in Figure 6, in which the hyperpolarized solution from the resonator was transferred via a piece of 0.25 mm ID quartz capillary (Polymicro Technologies, USA). The phantom was placed directly on top of the pick-up coil for optimal sensitivity. For imaging the standard 2D slice-selective gradient echo (GRE) experiment with Cartesian k-space filling was used with the number of encoding steps of 144, a repetition time (TR) of 110 ms, an echo time (TE) of 3.8 ms, a pixel bandwidth of 310 Hz, an acquisition matrix of 192x256 and a field-of-view (FOV) of 90x120 mm². The slice thickness was chosen in a range of 3 mm to minimize TE and signal-to-noise losses due to flow induced dephasing. The excitation RF pulse flip angle (FA) was set to 65° to meet the optimal conditions for the best signal contrast and sensitivity. The signal-to-noise-ratio (SNR) of the reference image (without DNP) was improved by acquiring 3 averages per scan resulting in an overall duration of 47 sec. The SNR was determined by measuring the maximal signal intensity at the inlet area of the phantom and the standard deviation of the noise outside the phantom.

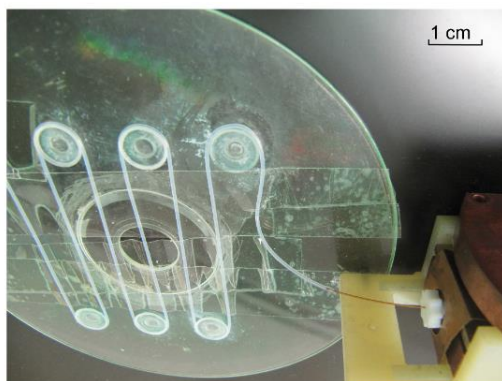


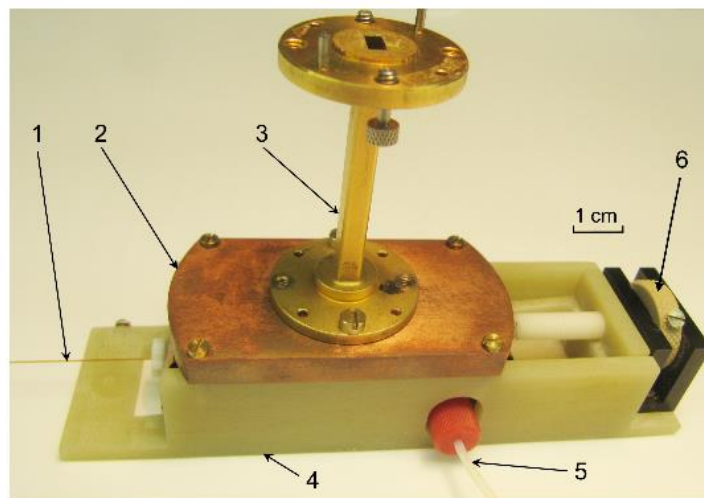
Figure 6: Phantom used for the DNP-MRI measurements. The meander-shaped 0.4 mm ID PTFE tubing is mimicking a blood vessel. Inlet of the phantom is connected to the resonator via a piece of 0.25 mm ID quartz capillary (right-bottom corner of the picture).

310 The nitroxide radical TEMPOL (4-hydroxy-2,2,6,6-tetramethylpiperidine-1-oxyl, Sigma-Aldrich, USA) was used as DNP
agent to polarize water protons. The best DNP enhancements were obtained with a concentration of 28 mM TEMPOL, which
was optimized previously (Denysenkov et al., 2017). Measurements of DNP enhancements and SNR were done for flow rates
of the TEMPOL aqueous solution ranging from 0.5 to 4 ml/min. Such flow rates of the aqueous solution have been
accomplished by using a 10 ml syringe driven by an ALADDIN syringe pump (WPI Inc., USA), and injected into the resonator
315 via a 0.8 mm ID PTFE tube.

3 Experimental Results

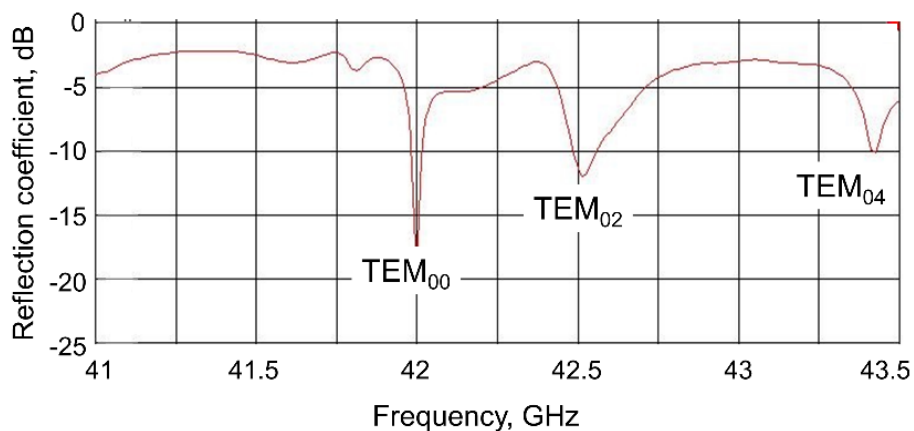
3.1 Resonator performance

The open resonator (Figure 7) was home-built in the workshop of the Institute of Physical and Theoretical Chemistry at the
320 Goethe University Frankfurt and tested experimentally for its mw performance with a Rohde & Schwarz ZVA-40 network
analyzer (Munich, Germany).



325 **Figure 7:** The resonator assembly. 1 - quartz capillary from the resonator to phantom, 2 - concave mirror, 3 - WR-22 waveguide, 4 - housing including the plain mirror, 5 - 0.8 mm ID tubing from the syringe filled with the TEMPOL solution, 6 – frequency tune knob.

The measured frequency response showed several resonance modes (Figure 8) which can be identified according to the resonance frequencies calculated previously by CST (compare with Figure 3).



330

Figure 8: Experimentally measured reflection coefficient of the open resonator connected to the microwave waveguide. The water layer is 50 μm thick, the sapphire window is 1.5 mm thick, and the separation between mirrors is 2λ . The different resonance modes are identified by comparison with the CST simulations shown in Figure 3.

335 The TEM_{00n} mode was chosen for the DNP experiments due to its high homogeneity of the B_1 field distribution along the flow axis and the highest average value of B_1 over the sample. The longitudinal mode number n defines the number of $\lambda/2$

wavelengths between the two mirrors. It was set to $n = 4$ for our experiments to reach a critical mw coupling to the resonator and avoid any reflection losses. The measured Q value of this resonance mode is about 700 when the resonator is filled with a 50 μm thick water layer on top of the plain mirror. It resulted in the conversion factor of $1.55 \text{ G/W}^{1/2}$ (mean value) over the sample volume, which agreed **very well** with the value calculated by the CST software. This demonstrates that finite element calculations are as good as measurements in a well-characterized resonator (Rinard and Eaton, 2005).

3.2 MRI DNP Results

MRI images of the TEMPOL/water solution flowing through the meander-shaped phantom were taken to investigate the DNP performance with the new setup. Figure 9 shows the images taken with a GRE sequence with the parameters described before. The substrate flows from the right side to the left side. The image of the radical solution without mw irradiation in the resonator is shown in Figure 9a. In this case, 3 acquisitions were necessary to get an appropriate SNR. The water signal at the inlet is weaker because the first loop of the tubing moves out of the phantom plane and therefore leaves the MRI slice (see Figure 6). The image on the right side (Figure 9b) was obtained as a single acquisition with the microwaves turned on to its maximum power of 10 W at the microwave board. Both pictures are taken with the same flow rate of 2.5 ml/min. The signal intensity under DNP conditions at the inlet is 18-fold larger compared to the control experiment without mw irradiation. As can be seen from the picture the enhancement fades away along the pathway of the flowing liquid and disappears at approximately 22 cm.

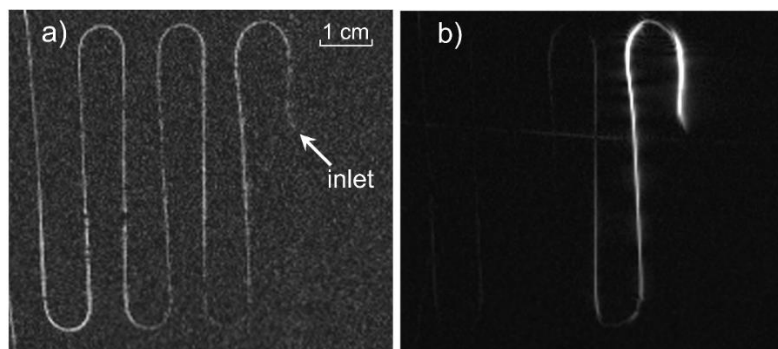


Figure 9: MRI image of TEMPOL aqueous solution flowing through the phantom. a) Without microwaves, 3 acquisitions; b) with microwaves, single acquisition. Phantom inlet position is indicated by the arrow. The radical concentration is 28 mM and the flow rate is 2.5 ml/min.

MRI images were taken also at different flow rates to quantify the superior performance of the new open resonator (with the TEM_{004} mode) compared to the cylindrical TE_{013} cavity. The signal enhancement (ratio of signal with and without mw) at the inlet versus different flow rates for both resonators is shown in Figure 10. As can be seen the signal enhancement is a factor

of 2 larger with the Fabry-Pérot resonator compared to the cylindrical one. The maximum enhancement of 18 is reached for a flow rate of 2.5 ml/min.

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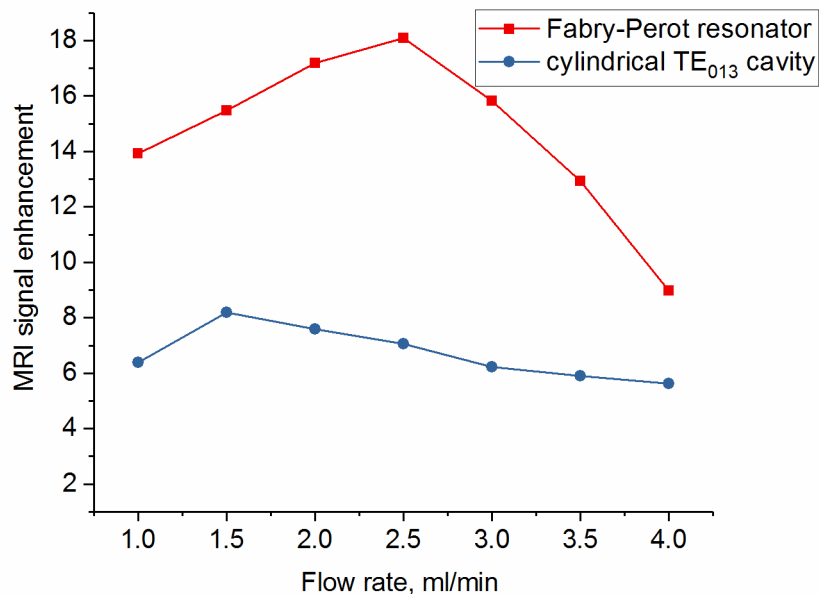


Figure 10: DNP MRI signal enhancements as a function of the flow rate. Shown are the results with the new Fabry-Pérot resonator (red) and the cylindrical cavity (blue) at a mw power of 10 W.

370 4 Discussion

The experimental tests showed that the new resonator has a good mw performance only if the thickness of the water layer is reduced to 50 μm with respect to the value of 80 μm used in the CST simulations. This can be explained by imperfections of the real device geometry caused by fabrication steps, as well as by the higher mw losses ($\tan\delta = 1.2$) in the water sample at room temperature (Ellison et al., 1996), which was the experimental temperature at the mw characterization of the device with a network analyser with low amount of mw output power (no heating). In the DNP experiments the temperature of the sample is between 65 °C and 95 °C due to the heating by the much higher applied mw power of 10 W. The higher temperature of the water solution in the DNP resonator shortens the rotational and translational correlations times improving the maximum achievable signal enhancements. Additionally this increases the flow rate inside the resonator structure slightly due to fluid expansion. After leaving the mw resonator the temperature of the hyperpolarized solution in the outlet capillary can be brought

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back to any desired temperature by a heat-sink chiller as described before (Denysenkov et al., 2017) to be physiologically compatible with *in vivo* experiments.

The optimum flow rate for signal enhancement by DNP is a trade-off between the dwell time of the liquid inside the mw resonator and the flow time through the phantom. The first aspect requires low flow rates to achieve maximum polarization build-up, which is on the timescale of the proton spin relaxation time T_1 . The second aspect requires high flow rates to reduce the hyperpolarization losses due to proton T_1 relaxation determined by the flow time through the phantom. As a consequence of this second point, the highest enhancement is observed at the inlet of the phantom due to the shortest time delay after the DNP polarization process inside the resonator.

The DNP enhancement observed at this point is more than a factor of 2 larger for the new open Fabry-Pérot resonance structure compared to the cylindrical cavity (Figure 10). The reason is the much larger sample volume inside of the new resonator (25 μl) compared to the cylindrical cavity (6.3 μl), leading to a much longer residence time of the liquid under flow conditions and therefore a larger starting polarization. The achieved B_1 field strength with the Fabry-Pérot resonator is only 10% smaller compared to the cylindrical cavity for the TE_{013} mode. This is due to the fact that a higher Q values can be achieved with the new resonance structure by minimizing the electrical field component of the mw at the sample along the full pathway within the resonator. This cannot be fulfilled as well for the higher mode cylindrical cavity. Similarly, the B_1 field in the new resonator is much more homogeneous along the pathway of the sample compared to the cylindrical cavity. All these factors lead to an expected larger DNP enhancement of the liquid at the exit of the new resonator.

The hyperpolarization of the liquid relaxes exponentially back to the thermal Boltzmann equilibrium polarization after leaving the DNP resonator with a time constant given by the proton T_1 relaxation time. For the 28 mM TEMPOL solution this time is approximately 0.17 s. Depending on the flow characteristics (laminar, bolus or turbulent) this would lead to a decrease of the polarization of $1/e$ after approximately 10-15 cm path length inside of the phantom. The experimentally observed decay is much faster due to the chosen gradient echo pulse sequence with a short TR of 110 ms. This time is much shorter than the travel time of the hyperpolarized liquid within the meander-shaped pathway of the phantom. Therefore the hyperpolarized liquid is excited several times by the RF $\pi/2$ pulse of the gradient echo sequence. Under our experimental conditions (flip angle of 65°) each subsequent pulse reduces the hyperpolarization more than a factor of 2. This can be avoided by applying fast imaging techniques as echo planar imaging (EPI or SE-EPI), or/and SPatio-temporal ENcoding (SPEN) sequences that are single RF pulse experiments, instead of the multi-pulse GRE protocol used here. However, these sequences are technically more demanding and have to be optimized with respect to contrast and flow artefacts. The fast polarization decay along the flowing liquid due to the short proton spin relaxation time induced by the paramagnetic radicals could be removed by immobilizing the TEMPOL radical inside the DNP resonator (McCarney and Han, 2008, Gajan et al., 2014). This would not only allow observing the hyperpolarized liquid pathway inside the blood vessels for a prolonged time and length after the injection point but also would avoid the exposure of the object to the radical.

415 The image of the DNP enhanced (bright) signal along the phantom tubing has some dark regions at the positions where the
tubing is bent (see Figure 9b). This feature can originate from some turbulence of the flow at these positions, leading to intra-
voxel dephasing, which depends on the degree of turbulence and the direction of the phase- and frequency-encoding gradients
with respect to the flow direction (Westbrook et al., 2011). To avoid these additional complications, we compare here the
420 resonator not only larger enhancements but also a maximum at larger flow rates was achieved. This is again due to the larger
sample volume inside the resonator. Therefore larger amounts and stronger hyperpolarized liquids can be achieved with the
new resonator type.

5 Conclusions

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The developed open Fabry-Pérot resonator for Overhauser DNP exhibits an enlarged aqueous samples volume of 24 μl inside
the mw resonator, a 4-fold increase with respect to a cylindrical TE_{013} cavity at 42 GHz. Additionally, the new resonator has a
more homogeneous amplitude of the mw B_1 field along the sample pathway inside the resonance structure. The design of the
resonator and sample geometry also leads to a reduced E_1 mw electrical field component along the sample pathway. This leads
430 to a larger Q value of 700 and thus to a similar efficient B_1 field amplitude (1.3 G) at the sample compared to a cylindrical
 TE_{013} cavity. First MRI DNP experiments with the new resonator demonstrate the possibility to increase the flow rate of
hyperpolarized solutions significantly (4-fold) as well as to reach higher DNP enhancements (2-fold). The obtained
improvement is encouraging and might together with using immobilized TEMPO radicals be a promising approach for *in-vivo*
MRI angiography applications on small animals in the future.

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Author contributions. AF simulated the resonator structure and wrote chapter 2 of the manuscript. IK calculated the concave mirror profile. SF
optimized MRI protocol and wrote chapter 3 of the manuscript. TV supervised MRI experiments. TP supervised the DNP project and wrote chapter
1 and 4 of the manuscript. VD planned the resonator improvements, designed the device, accomplished the resonator performance tests and
participated in the MRI experiments. He also wrote the final version of the manuscript. All authors read and approved the final manuscript.

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Competing interests. The authors declare no competing interests.

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