An Electrochemical electrochemical cell for *in operando* ¹³C NMR investigations of carbon dioxide/carbonate processes in aqueous solution

Sven Jovanovic^{1,5}, <u>P.</u> Philipp <u>M</u>. Schleker^{1,3}, Matthias Streun², Steffen Merz¹, Peter Jakes¹, <u>Michael</u> <u>Schatz</u>^{1,5}, Rüdiger-A. Eichel^{1,4}, and Josef Granwehr^{1,5}

¹Forschungszentrum Jülich, Institute of Energy and Climate Research, Fundamental Electrochemistry (IEK-9), Jülich, Germany

²Forschungszentrum Jülich, Central Institute of Engineering and Analytics, Electronic Systems (ZEA-2), Jülich, Germany
³Department of Heterogeneous Reactions, Max Planck Institute for Chemical Energy Conversion, Mülheim an der Ruhr, Germany

⁴RWTH Aachen University, Institute of Physical Chemistry, Aachen, Germany

⁵RWTH Aachen University, Institute of Technical and Macromolecular Chemistry, Aachen, Germany

Correspondence: Sven Jovanovic (s.jovanovic@fz-juelich.de)

Abstract. In operando NMR spectroscopy is a one method for the online investigation of electrochemical systems and reactions. It allows a for real-time observation the formation observations of the formation of products and intermediates , and it grants insight insights into the interactions substrate and catalystof substrates and catalysts. An *in operando* NMR setup for the investigation of the electrolytic reduction of CO_2 on at silver electrodes has been developed. The electrolysis cell consists

- 5 of a three-electrode setup using a working electrode of pristine silver, a chlorinated silver wire as reference electrode, and a graphite counter electrode. The setup can be adjusted for the use of different electrode materials and fits inside a 5 mm NMR tube, Additionally, a shielding setup was employed to minimize noise caused by interference of external radio frequency (RF) waves with the conductive components of the setup. The electrochemical performance of the *in operando* electrolysis setup was investigated in comparison to is compared with a standard CO₂ electrolysis cell. The small cell geometry impedes
- 10 the release gaseous products, of gaseous products and thus it is primarily suited for current densities below 1 mA/cm². The effect of conductive components on ¹³C NMR experiments was studied using a CO₂ saturated solution of aqueous bicarbonate electrolyte. Despite the B_0 field distortions caused by the electrodes the investigation interactions investigations in the sub-Hertz range by NMR spectroscopy. High resolution ¹³C NMR and relaxation time measurements proved to be sensitive to changes in the
- 15 sample. It was found that the dynamics of the bicarbonate electrolyte change due to interaction with the electrochemical setup, by catalyzing the varies not only due to interactions with the silver electrode, which leads to the formation of an electrical double layer and catalyzes the exchange reaction between CO_2 and HCO_3^- and affecting the formation an electrical double layer., but also due to interactions with the electrochemical setup. This highlights the necessity of a step-by-step experiment design for a mechanistic understanding of processes occurring during electrochemical CO_2 reduction.

20 1 Introduction

The anthropologically driven atmospheric CO_2 increase is considered one of the major contributions to global warming (Rockstrom et al., 2009; Hansen et al., 2008)(Hansen et al., 2008). A decline in anthropological CO_2 emissions is seen as improbable due to socio-economic factors (Grundmann, 2016). Thus, the recycling of CO_2 by electrochemical conversion to energy-rich materials is particular interest (Jhong et al., 2013; Olah et al., 2009; Whipple and Kenis, 2010; Zhu et al., 2016; Higgins et al., 2017; Olah et al., 2009; Whipple and Kenis, 2010; Zhu et al., 2016; Higgins et al., 2018; Olah et al., 2009; Whipple and Kenis, 2010; Zhu et al., 2016; Higgins et al., 2018; Olah et al., 2009; Whipple and Kenis, 2010; Zhu et al., 2016; Higgins et al., 2018; Olah et al., 2009; Whipple and Kenis, 2010; Zhu et al., 2016; Higgins et al., 2018; Olah et al., 2009; Whipple and Kenis, 2010; Zhu et al., 2016; Higgins et al., 2018; Olah et al., 2009; Whipple and Kenis, 2010; Zhu et al., 2016; Higgins et al., 2018; Olah et al., 2009; Whipple and Kenis, 2010; Zhu et al., 2016; Higgins et al., 2018; Olah et al., 2009; Whipple and Kenis, 2010; Zhu et al., 2016; Higgins et al., 2018; Olah et al., 2009; Whipple and Kenis, 2010; Zhu et al., 2016; Higgins et al., 2018; Olah et al., 2009; Whipple and Kenis, 2010; Zhu et al., 2016; Higgins et al., 2018; Olah et al., 2018; Ola

- 25 . A promising candidate in terms of particular interest (Jhong et al., 2013; Whipple and Kenis, 2010; Zhu et al., 2016). One promising method in terms of cost and variability is the electrolytic reduction of CO₂, which is usually performed in an aqueous bicarbonate electrolyte solution (Haas et al., 2018). Depending on the metal electrode, CO₂ electrolysis yields a number of products, *e.g.* formate, hydrocarbons, alcohols and carbon monoxide (Hori, 2008; Hori et al., 1989, 1994; Burdyny and Smith, 2019; Garg-(Hori, 2008; Haas et al., 2018). CO, which is a versatile educt for the chemical industry, *e.g.* as feedstock in the Fischer-Tropsch
- 30 for the Fischer–Tropsch process, is produced at obtained by using silver or gold electrodes (Hernández et al., 2017).

Despite vivid research, the reaction pathways of electrochemical CO_2 reduction are still not well-fully understood (Hori, 2008; Jhong et al., 2013; Kortlever et al., 2015). There are two main issues. First, there is a complex equilibrium, were one originates in the complex equilibrium of CO_2 and carbonate species in aqueous systems, which depend depending on pH, temperature and partial pressure. These parameters vary not only with time during the electrolysis but also spatially as a function

- 35 and are also a function of distance from the electrode surface (Hori, 2008; Wang et al., 2010; Xiang et al., 2012; Gibbons and Edsall, 1963; . Second, (Hori, 2008). The second issue is the electrolytic CO₂ reduction which suffers further from a high overpotential, which can be high overpotentials, mitigated by a few selected metal catalysts. There is evidencethat the cause the overpotential is the formation a, that the formation of an intermediate CO₂⁻ radical as an intermediate. (Hori, 2008; Janik and Tripathi, 2016; Baruch et al., -can cause an overpotential (Hori, 2008; Baruch et al., 2015).
- 40 To gain inside insights into the dynamic processes of an electrochemical system it is imperative to monitor the system as a whole complete system during operation, *i.e.g.* using *in operando* spectroscopic techniques (Bañares, 2005; Britton, 2014). NMR spectroscopy is a flexible and powerful method for ehemical and reaction analysis (Williamson et al., 2019; Stanisavljev et al., 1998) reaction monitoring or quantitative chemical analysis (Stanisavljev et al., 1998; Williamson et al., 2019). The NMR study batteries are of batteries is often associated with broad line widths inherent to solid state materials. For *in operando* investigations of
- 45 liquid state electrolysis systems, high spectral resolution is a limiting critical factor. The determination of structural information for small molecules relies on the visibility detection of minor changes in chemical shift as well as shifts and J-couplings in the range of a few Hertz, which are not visible in case broad signals. Thus, signal line width was widths are of major concern since the earliest publication in this field publications in this area of research. Several experiment setups for the electrochemical reduction and/or oxidation of organic molecules are published suggested in literature (Bussy and Boujtita, 2015; Falck and
- 50 Niessen, 2015). The first *in operando* flow cell for the investigation electrochemical processes was described by Richards *et al.* in 1975 and consisted of electrochemical processes consisted of a two-electrode setup inside a 5 mm NMR tube (Richards and Evans, 1975). A Pt/Hg wire working electrode outside the sensitive volume was contained in placed inside a 3 mm tube concentric to the NMR tube. At the bottom of the 3 mm tube, a capillary releases released the reaction products into the sen-

sitive volume of the 5 mm tube. The setup allowed sample spinning, which was required due to the low spectral resolution of

55 the spectrometer at that time. This approach was adapted by Mairanovsky *et al.* in 1983 then adapted for the investigation of anion radical decays and improved by using three electrodes (Mairanovsky et al., 1983).

A new approach for an An alternative in operando setup was published in 1990 by Mincey et al. (Mincey et al., 1990) . Thin film electrodes were employed employed thin film electrodes to minimize distortions of the B_0 and B_1 field by the conductive parts conductive parts of the electrolysis cell , and thus (Mincey et al., 1990) where ¹H line widths of 0.9 Hz could

- 60 be achieved (Prenzler et al., 2000; Webster, 2004; Zhang and Zwanziger, 2011). As a further evolution the setup, Webster et al. introduced Additionally, radio frequency (RF) chokes to were introduced to this setup to minimize interactions between NMR and the potentiostat (Webster, 2004). However, due to its prerequisites manufacturing manufacturing of thin film electrodes is not easily adaptable. An alternative setup was constructed by Klod et al., which aimed for accessibility for non-specialized NMR users with improved accessibility (Klod et al., 2009). The electrolysis cell employed carbon fiber electrodes with a high
- surface area , and could be set up without the need for special equipment (Bussy et al., 2013). However, the use of carbon fiber electrodes limits the variety in electrocatalyststhat can be investigated of possible electrocatalysts.

A different technique for the coupling <u>of</u> electrochemistry and magnetic resonance is hyphenated electrochemical NMR , first presented by Albert et al. in 1987 and later continued by other groups (Albert et al., 1987; Falck et al., 2013; Simon et al., 2012), where the electrochemical cell is physically separated from the NMR spectrometer by passing the electrochemically

70 generated species to an NMR probe by flow. This technique does not suffer from B_0 and B_1 distortions, but there is a time delay between generation and detection of the electrochemical species due to the physical separation.

Most research in this field has been done using ¹H NMR due to its high sensitivity compared to other nuclei, with only few attempts made to investigate ¹³C systems (Albert et al., 1987; Nunes et al., 2014). ¹³C NMR a high spectral width and thus increased separation between signals, but suffers from a low natural abundance the nucleus. In a recent study, Nunes

- 75 et al. developed a setup based on Klod et al. to investigate the electrochemical reduction 9-chlroantracene by ¹³C NMR (Nunes et al., 2014). They employed steady-state free precession (SSFP) to achieve high signal-to-noise ratio during short measurement times despite using non-enriched samples. Instead NMR, To directly monitor paramagnetic species, electron paramagnetic resonance (EPR) spectroscopy was employed in a recent study by Neukermans et al. as a screening tool for electrocatalysts (Neukermans et al., 2020).
- 80 Despite the their first appearance in 1975, electrochemical in operando NMR investigations of liquid state systems are still a niche application with focus on method development and thus tested tested primarily on well-studied, simple redox systems(Richards and Evans, 1975; Mairanovsky et al., 1983; Albert et al., 1987; Mincey et al., 1990; Prenzler et al., 2000; Webster, 2004; K . Only in recent years. More recently in operando NMR has been used to study biological systems (Zhang and Zwanziger, 2011; Bussy et al., 2013; Falck et al., 2013). However, this method has not yet been utilized for the investigation of industrial
- 85 and energy applications, *e.g.* the electrolytic reduction $\underline{of} CO_2$.

The majority of research was performed using ¹H NMR due to the high sensitivity compared to other nuclei with only few attempts made to investigate ¹³C systems (Albert et al., 1987). ¹³C NMR offers a high spectral width and thus allows for an increased separation between signals, but suffers from a low natural abundance of the nucleus. To increase the sensitivity,

steady-state free precession (SSFP) was suggested to achieve a high signal-to-noise ratio (SNR) during short measurement

90 times despite using non-enriched samples (Nunes et al., 2014).

In operando ¹³C NMR spectroscopy is ideally suited to study the electrolytic reduction of CO_2 to CO, which requires high resolution to monitor changes in educt structure the structure of the educt and the ability to use high sensitivity NMR equipment. To directly measure processes interest investigate processes of interest directly, the working electrode needs to be placed in the sensitive volume of the NMR coil. On the other hand, conductive components in the sample can lead to distortions of B_0 and

- 95 B_1 . These effects can be minimized by choosing a proper placement and orientation of the electrode and by pulse sequences that are robust against B_0 and B_1 field distortions (Romanenko et al., 2014; Hargreaves et al., 2011; Jungmann et al., 2017; Britton et al., 2013; (Hargreaves et al., 2011; Britton et al., 2013; Romanenko et al., 2014; Jungmann et al., 2017). For a versatile cell setup, ease of construction, adaptability to other for various metal electrodes and the applicability in unmodified NMR liquid state probes is desirable.
- 100 This work aims to reduce the effort required for the construction an *in operando* NMR setup and apply it to investigate the electrolysis on a molecular level. Thus, an electrolysis presents an electrolysis cell for the *in operando* NMR investigation of electrolytic CO_2 reductionis presented. The cell is constructed inside a 5 mm NMR tube and consists of a three-electrode setup, which can be adapted without the need special tools. The easily be adapted. The electrochemical performance of the setup was evaluated for electrochemical performance by characterizing the chemical system by characterizing CO_2 in 1M an
- 105 1 M KHCO₃ electrolyte with and without a) all neccessary electrochemical equipment connected $.T_1$ and and b) without connection. To investigate the mobility and interactions of the reactant and the electrolyte, T_1 , T_2 as well as the exchange time and exchange time constants between CO₂ and HCO₃⁻ were determined to investigate the mobility and interactions the reactant and electrolyte molecules.

2 In operando NMR setup

110 2.1 Electrolysis cell

A-<u>The</u> three-electrode electrolysis cell has been build that fits a standard 5 mm NMR tube <u>- It consists and consists of</u> a 2.5 x 4 x 0.05 mm silver foil (GoodFellow, Hamburg, Germany) with an area of 10 mm² as working electrode , and a graphite rod with 1 mm in-diameter and 50 mm in length (GoodFellow, Hamburg, Germany) as counter electrode. A chlorinated silver wire tip with a diameter of 0.25 mm (GoodFellow, Hamburg, Germany) was employed as micro Ag/AgCl reference electrode.

- 115 All electrodes were connected to a using silver wire with a diameter 0.25 mminsulated using , insulated with polytetrafluoroethylene (PTFE) of 0.024 mm thickness (GoodFellow, Hamburg, Germany)with an insulation thickness 0.024 mm. The A graphite counter electrode was chosen because metals can dissolve in small quantities prevents the dissolution of metals during electrolysis and which may deposit at the working electrode resulting in a change of catalytic properties (Benke and Gnot, 2002). This process is more pronounced in becomes pronounced for small setups with half cell reactions that are half-cell
- 120 reactions not separated by a membrane , as it is the case for the *in operando* electrolysis cell, since species from since species originating at the counter electrode diffuse sufficiently fast towards the working electrode.

To join the silver lead wire and the silver foil used as working electrode, first the wire insulation was stripped off over a length of about 1–2 mm. Afterwards, the uninsulated The skinned wire tip was pressed on onto the silver foil while heating them up to 450 °C for a few seconds using a soldering iron. The counter electrode was connected by soldering where *ca*. 2 cm of the silver wire insulation was removed and wrapped around one end of the graphite rod.

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The reference electrode was prepared by cleaning the stripped tip (*ca.* 2 mm) of a silver wire in concentrated nitric acid for 30 secondsand thereafter subsequently placed in an 1M aqueous solution. The electrode was subsequently transferred into an 1M aqueous solution of potassium chloride (\geq 99.5 purity; Sigma Aldrich, Munich, Germany) for 30 minutes. During this process , a thin layer of silver chloride (AgCl) is formed, creating a micro Ag/AgCl reference electrode (Inzelt, 2013). The averaged potential of the micro Ag/AgCl reference electrode was determined to be 0.132 ± 0.004 V vs. a commercial Ag/AgCl (3M-3 M KCl) reference electrode in 1M-1 M KHCO₃(aq). Subsequent potentials presented in the results and discussion section are provided Potentials provided in this work are given vs. the micro Ag/AgCl electrode. The commercial electrode was specified with a potential of 0.210 V vs. normal hydrogen electrodes electrode (NHE), resulting in a potential. Thus, the potential of the micro Ag/AgCl reference electrode was determined as of 0.342 ± 0.004 V vs. NHE for the micro Ag/AgCl

135 reference electrode in CO₂ saturated 1M KHCO₃. The potential of the micro reference electrode was constant during one experiment, but may vary slightly in different chemical environments.

The electrodes were arranged in a geometry as shown in Figure 1a and fixed using PTFE tape and shrinking tubea heat shrink tubing. The distance between the center of the working electrode and the reference electrode was adapted to the height of the sensitive volume and the position of the NMR coil. The position of the working electrode inside the 5 mm tube was adjusted

to match the center the NMR of the coil. This arrangement minimizes the content of conductive material inside the NMR coil, thus reducing distortions of B_0 and interactions with B_1 . Additionally, a short-minimized distance between the reference and the working electrode ensures a small uncompensated resistance of $5 \pm 2 \Omega$ and correspondingly a small iR-internal resistance (iR) drop for all electrochemical measurements. An iR drop is a drop in the potential for an electrochemical system caused by the uncompensated resistance according to Ohm's law. Thus, the iR drop is proportional to the uncompensated resistance and

The lead wires of the electrodes were passed through a drilled opening of an NMR tube cap. Cellulosenitrate Cellulose nitrate glue (UHU HART, UHU, Bühl, Germany) was applied to the top of the tube cap and the protruding connection wires in order to fix the position for mechanical stability. The glue fixes the position of the electrodes inside the 5 mm tube and seal seals the drilled opening in the cap. Additionally, ethyl-cyanacrylate ethyl cyanacrylate glue (Loctite 406, Henkel, Düsseldorf,

150 Germany) was applied on the top after the <u>cellulosenitrate glue hardened</u> cellulose nitrate glue hardened in order to decrease the gas permeability.

2.2 Cell holder

The holder for the electrolysis cell is shown in Figure 2. The setup enables an easy and stable connection between the thin silver wires of the cell and the shielded coaxial cables of the potentiostat. Furthermore, it increases the structural stability

¹⁴⁵ the applied current.



Figure 1. (a) Geometry and arrangement of the three-electrode *in operando* NMR setup. It consists of a silver foil working electrode (WE), a graphite rod counter electrode (CE) and a micro Ag/AgCl reference electrode (RE). The reference electrode was placed on the edge of the sensitive NMR area to minimize the amount of conductive material during NMR measurements while maintaining a small ohmic potential drop between working and reference electrode. (b) Photograph of the electrode setup inside a 5 mm tube.

of the cell by reducing the weight and strain as well as vibrations of the coaxial cables. The frame of the cell holder was 3D-printed using AcryInitrile-Butadiene-Styrene acryInitrile butadiene styrene (ABS) copolymer (Filamentworld, Neu-Ulm, Germany). For each electrode a non-magnetic SMA coaxial connector (model 23_SMA-50-0-13/111_NE, Huber+Suhner, Herisau, Switzerland) was fixed to the frame using non-magnetic screws. For connection To connect the electrolysis cell, the silver wires were soldered to the connector pins. The bottom hole of the cell holder was adjusted to the diameter outer diameter of the NMR tube and plus the tube cap. The 5 mm tube containing the electrolysis cell is mounted into the cell holder from the top opening and the cell is then fixed by tightly clamping squeezing the tube cap at the top end of the NMR tube into the bottom hole of the holder.

The direct insertion of the *in operando* cell into the probe was found to be mechanically unstable. To stabilize the sample inside the magnet and to achive achieve a mechanical separation of probe and cell, a dismounted turbine of a magnet lift was fixed on top of the probe. A spinner was (Bruker, Germany) matching the opening of the turbine was then attached to the 5 mm tube of the *in operando* cell, placed inside the turbine and. The sample with the attached spinner was inserted into the magnet. turbine and probe by hand. The vertical position of the *in operando* cell inside the spinner was adjusted to match the sensitive NMR volume. No sample spinning was performed.

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2.3 Noise reduction assembly Assembly for noise depression

The *in operando* cell was connected to the a potentiostat using shielded coaxial cables with SMA connectors. The top opening \underline{of} the magnet was closed with a copper plate containing two RF feed-throughs for the cables to the potentiostat potentiostat connection (NMR Service, Erfurt, Germany). Additionally, three low pass radio frequency filters (models RF filters (SLP-



Figure 2. Cell holder consisting of the 3D-printed frame (black) and three SMA coaxial connectors (white and gold). The electrolysis cell is fixed inside the cell holder and the electrode wires are soldered to the pins of the SMA coaxial connectors.

- 5+, SLP-15+, SLP-30+, Mini Circuits, New York, USA) were connected to each cable in order to reduce RF noise from the potentiostat and environmental sources. A total three low pass filters were connected to each cable. One low pass filter (for noise depression (Figure 3). The SLP-5+, + low pass filter (<5 MHz) was connected to the copper plate connections connection at the top of the magnet and two filters each (the SLP-15+, -(<15 MHz) & SLP-30+, -(<30 MHz) were placed directly at filters were attached to the potentiostat connections. As the connection cables and corresponding banana plugs attached to Since the connection for the potentiostat are unshielded, a silver cloth was wrapped around the all unshielded cables. In addition, the
- body the probeand of the probe, the NMR magnet as well as and the potentiostat were connected to a common ground. The shielding setup is shown in Figure 3.

2.4 Simulation of the B₁ field simulation and the nutation behavior within the *in operando* cell

- 185 The distortion of the B_1 field in the proximity of the metal electrode was numerically simulated using EMpro (Version 2020, Keysight Technologies). A square Helmholtz coil was designed to mimic a homogeneous RF field in the vicinity the electrode consisting consisting of two parallel square shaped wires with a distance of 0.5445 times the length for each side the square. of the square, was designed to mimic a homogeneous RF field in the vicinity of the electrode. An ideal conductor served as coil material – and both squares of the coil were driven synchronously by a current source. The silver electrode was placed in
- 190 the center of the coil as shown in Figure 4d. The simulation was performed for three different angles (0°, 45°, 90°) between the B_1 field and the electrode plane - Data and data points were acquired with a resolution of 0.4 mm. The complex magnetic field vectors of the simulated volume were exported by means of a Python script (Python 3.7, Python Software Foundation) for data processing.

Eddy currents are formed on caused by the oscillating B_1 field are formed at the metal surface (Figure 4)eaused by the

195 oscillating B_1 field. In turn, the eddy currents induce a magnetic field that distorts the amplitude and phase of the excitation pulse. The distortion of the B_1 field strongly depends on the angle between the electrode and the RF field (Romanenko et al., 2014; Britton, 2014; Brit



Figure 3. Schematic drawing of the in operando NMR electrolysis setup with shielding, RF filters and potentiostat.

(Britton, 2014; Romanenko et al., 2014). For a parallel configuration, *i.e.* at an angle of 0° , distortions of the B_1 field are minimized (Figure 4a). Correspondingly, there is only a small eddy current formation due to the minimal surface area remaining perpendicular to the B_1 field.

For a perpendicular (90°) orientation of the electrode (Figure 4c) the B_1 field showed major distortions, which lead to a decrease in strength amplitude of the B_1 field in the proximity of the electrode surface. However, the B_1 field strength showed an increase is increased at the top and bottom edges of the electrode. At the side edges, the direction of the field, *i.e.* the phase the of B_1 , changed. At a distance of about 0.8 mm from the electrode surface, the strength of the RF distortions decreased to 1/e of the B_1 field.

Smaller distortions of B_1 were also observed for the 45° orientation of the electrode (Figure 4b), affecting mostly the direction of the field whereas the signal amplitude decreased at the surface.

<u>Major distortions Major distortions of the</u> B_1 phase were <u>observed present</u> along the top and bottom edges <u>of</u> the electrode. The distortions decreased to 1/e <u>of</u> the B_1 field strength at a distance <u>of</u> ca. 0.6 mm from the electrode surface.

Concluding from the results the simulation, It can therefore be concluded that an electrode orientation planar to B_1 can be is considered optimal. For different angles, amplitude and phase Amplitude and phase of the B_1 field distortions depend on the

- 210 considered optimal. For different angles, amplitude and phase Amplitude and phase of the B_1 field distortions depend on the spatial orientation. However, the distortions are mainly distortions are significant in the vast proximity immediate proximity of the electrode (0.6–0.8 mm from the surface). Therefore, the majority of the volume inside a 5 mm NMR tube is considered distortion free can be regarded free of distortions for the chosen electrode geometry and thus can be probed by NMR spectroscopy without additional measures. Thus, for For the current setup a minute adjustment of the orientation is not necessary.
- It should be noted that the distortions of the B_1 field do not depend only on orientation but also are depending on orientation



Figure 4. B_1 field simulation in proximity of the metal electrode for angles of 0° (a), 45° (b) and 90° (c) between the direction of the incoming RF field and the electrode surface, and geometry and arrangement of the metal electrode in relation to the B_1 field in the simulations (d). The incoming RF field points towards the positive x-axisx-axis. The vectors are represent the deviations in field strength and direction compared to the incoming undistorted RF field. Deviations smaller than B_1/e are not shown in order to increase clarity. For a better visibility of phase deviations, the vectors are color coded according to their relative field strength in y-direction y-direction compared to the incoming field strength, which possess only a component along the x-axisamplitude. Note that all figures have individual colorbar ranges and perspective. No distortion is present for a parallel (0°) orientation of RF field and electrode. The angled (45°) and perpendicular (90°) orientations cause major distortions in electrodes immediate proximity to the electrode, which diminish at a distance of 0.6–0.8 mm.

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A nutation experiment a saturated 1M solution in the *in operando* cell Two experiments were performed to validate the B_1 field simulations and study the effect of B_1 field distortions on NMR measurements. First, a nutation experiment using distilled water was performed using a Bruker Avance III HD spectrometer with a 14.19.4 T wide bore magnet (150.9 MHz RF frequency for ¹³C400 MHz ¹H RF frequency) and a broadband gradient probe (Bruker DiffBB). The experiment was conducted with and without the electrode setup where the electrode orientation was either parallel, perpendicular, or in a 45° angle with respect to B_1 . Data points were acquired in pulse length steps 1 μ s at a constant pulse power 59 W. The nutation curve shows the integral

the of 13.9 W for pulse lengths between 5 μ s and 400 μ s using 5 μ s steps. Nutation curves were acquired using the ¹³¹C due to

- 225 its higher signal-to-noise H water peak due to the higher S/N ratio compared to the 13 C resonances of HCO₃⁻ and CO₂ signal (Figure 5a). The Figure 5b shows the Fourier transform of the nutation curves. Secondly, the effect of the B_1 field distortions due to interactions with the metallic components the cell result in a signal decay with a time constant $72 \pm 2.6 \ \mu$ s. However, simple NMR experiments using 90° and 180 distortions on the water signal shape was studied. In Figure 5c the NMR signal shapes of water for different electrode orientations are compared. The B_0 field was shimmed on a sample containing pure
- 230 distilled water without the electrode setup, and not reshimmed after insertion of the electrodes in order to exhibit the distortion of the B_0 field by the metal components.

As predicted by the simulations, deviations in the nutation curves are largest for the perpendicular electrode orientation and minimal for the parallel orientation compared to the neat nutation behaviour. The magnitude of deviations for the 45° pulses remain manageable. The Fourier transform the nutation curve (electrode orientation is in between the parallel and perpendicular

- 235 orientation. The nutation curve for the perpendicular orientation exhibits both, the fastest decay and the broadest distribution of nutation frequencies. This becomes evident in Figure 5b) shows a broadly distributed nutation frequency the main component at 16.9, where the perpendicular orientations feature a broad main component distributed around 25.6 kHz with a full width at half maximum (FWHM) 6.8 kHz. An additional component appears as a low frequency shoulder the main component. an additional component at low frequencies.
- All three electrode orientations exhibit minor deviations of the 90° and 180° pulse lengths compared to the pure water sample. Therefore, common pulse sequences can be applied for studies using the *in operando* cell. This is evident in Figure 5c, where only small differences due to B_0 field distortions between the unshimmed water signals for different electrode orientations are apparent.

All simulations and NMR measurements on the orientation dependent magnetic field distortions caused by metallic components are in line with literature (Ilott et al., 2014; Serša and Mikac, 2018). In these studies an electrode orientation parallel to the B_1 field direction is considered optimal. Strong B_1 field distortions as well as signal loss in the proximity of the metal are observed for perpendicular orientations. It was also shown that angled orientations lead to amplification of the B_1 field along the metal boundaries, which is consistent with the pronounced distortions of B_1 field strength and phase alterations observed for the 45° electrode orientation.

250 3 Methods Materials and materials methods

A <u>1M aqueous solution 1 M aqueous solution of</u> 98% ¹³C enriched KHCO₃ (Sigma Aldrich, Munich, Germany) was used as electrolyte. The electrolyte was pre-chilled inside a polyethylene vial in a 10 °C water bath. *Ca.* 1 mL of chilled electrolyte was filled into a 5 mm NMR tube and saturated with 99% ¹³C enriched CO₂ (Cambridge Isotope Laboratories, Tewksbury, USA) by bubbling for 20 minutes at a temperature of 10 °C if not stated otherwise. The CO₂ was bubbled into the electrolyte using a 1/16 inch PEEK tube, and the flow rate was adjusted to *ca.* 0.3 mL/s. The three electrode setup was placed inside the 5 mm tube filled with CO₂ saturated electrolyte, ensuring that the contact between counter electrode and silver wire is was not

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Figure 5. (a) Nutation eurore curves of the ¹³C signal ¹H water resonance using the the *in operando* cell with electrode orientations of 0° (blue), 45° (red) and 90° (green). The nutation curve of a water sample without electrode is shown for comparison (black dashed line). Due to Deviations from the B_1 field inhomogeneities undistorted nutation curve is largest for the perpendicular electrode orientation, and minimal for the signal decays with a time constant $72 \pm 2.6 \mu$ sparallel orientation. (b) shows the Fourier transform of the nutation eurocurves. The main component of the magnetization undistorted sample nutates at a frequency 16.9of 25.6 kHz (15.515 μ s 90° pulse length), but is broadly distributed at a FWHM 6.8 kHz. AdditionallyFor the samples with electrode setup, there is a significant the width of the main component at lower nutation increases and a low frequency component appears. (c) ¹H water NMR spectrum with and without electrode setup. The B_0 field was not shimmed after electrode insertion. The signal shape is mainly governed by B_0 field distortions and only slightly affected by deviations in the B_1 field.

immersed in liquid. Prior to sealing, the gas phase inside the tube was aerated with ${}^{13}C$ labeled CO₂ gas. All preparation steps were performed under ambient condition conditions.

- The electrochemical experiments were performed using a BioLogic SP-200 potentiostat (BioLogic Science Instruments, Seyssinet-Pariset, France) at a temperature of 10 °C, controlled by a surrounding water bath. The electrochemical performance of the *in operando* cell was evaluated using chronopotentiometry (CP) at several current densities up to 4 mA/cm² for 15 minutes each and linear sweep voltammetry (LSV) in the range of -1.0 V to -2.5 V vs. Ag/AgCl (rate 10 mV/s) afterwards. In between Between the electrochemical experiments the system was allowed to relax for 5 minutes. For reference, an An equivalent chronopotentiometry experiment was performed using a 1 cm² silver electrode and identical reference and counter
- 265 electrodes of identical size and material. This experiment serves as reference. The reference chronopotentiometry experiment was performed in a cleaned glass beaker filled with 60 mL of aqueous CO₂ saturated <u>1M-1 M KHCO₃</u> electrolyte, which is denoted as bulk cellin later sections. In the bulk cell, working ... Working and counter electrode were arranged in a parallel geometry with a distance inside the bulk cell. All distances between working and reference electrode counter electrode and between working and reference electrode are identical to the *in operando* cell. The potential
- 270 <u>The potential of</u> the micro reference was measured determined *vs.* a commercial Ag/AgCl reference electrode with a double junction system and a <u>3M-3 M</u> aqueous KCl bridge electrolyte. The measurement was performed in the electrolyte using the electrolyte of the CO₂ electrolysis and where the reference electrode potential was averaged over 10 minutes. Both reference electrodes were equilibrated for 10 minutes prior to the experiment.

All ¹³C NMR measurements were performed using a Bruker Avance III HD spectrometer with a 14.1 T widebore magnet

- 275 (150.9 MHz RF frequency for ¹³C) and a broadband gradient probe (Bruker DiffBB). Spectra were post processed 90° pulses were achieved using a pulse length of 15.5 μs and a pulse power of 58.7 W, and the relaxation delay was set to 85 s. Spectra were post-processed by applying a 1 Hz line broadening. NMR experiments were performed at a temperature of 10 °C if not stated otherwise. Concentrations of the carbon species in the CO₂ saturated electrolyte with CO₂ atmosphere were determined in using a sealed NMR tube using sodium trimethylsilylpropanesulfonate (DSS) (Sigma Aldrich, Munich, Germany) as reference
- 280 (c(DSS) = 61.62 mmol/LmM) and a ¹H WALTZ-16 sequence for decoupling (Shaka et al., 1983; Tenailleau and Akoka, 2007). The chemical shift scale all future of all ¹³C spectra was referenced using the frequency DSS in this experiment to the frequency offset of DSS. DSS was not included employed for *in operando* experiments -because the organic salt may change can alter the electrochemical behavior. The CO₂ saturated electrolyte was examined using longitudinal, T_1 and transverse, T_{24} relaxation and exchange time measurements. Relaxation T_1 relaxation time constants were determined using a saturation
- recovery pulse sequence for the determination T₁ and with equispaced saturation pulses using logarithmically spaced recovery times between 1 s and 128 s. Transverse relaxation time constants were determined using a Carr–Purcell–Meiboom–Gill (CPMG) pulse sequence for T₂ measurements with an echo time of 5 ms (Carr and Purcell, 1954; Meiboom and Gill, 1958). The exchange time between HCO₃⁻ and solvated CO₂ was assessed by a 1D EXSY sequenceexchange spectroscopy (EXSY) sequence (Bain and Cramer, 1993), which uses a shaped Gauss pulse with 100 Hz bandwidth for the selective inversion (100 Hz bandwidth) of the bicarbonate resonance at 160.7 ppm. The center frequency of the selective inversion pulse was adjusted

in case of a HCO_3^- frequency shift.

The exchange time constant T_{exc} was determined by fitting the evolution of the CO₂ signal integral $I(\text{CO}_2)$ as a function of the mixing time τ_{m} to

$$I(\text{CO}_2) = I_0(\text{CO}_2) \left\{ 1 - 2 \left[\exp\left(-\frac{\tau_{\text{m}}}{T_{\text{exc}} + T_1}\right) - \exp\left(-\frac{\tau_{\text{m}}}{T_1}\right) \right] \right\},\tag{1}$$

where $I_0 - I_0$ is the signal integral at $\tau_m = 0$. This simplified fitting equation is valid under the conditions that the bicarbonate concentration bicarbonate substantially exceeds the CO₂ concentration , and that both species have similar longitudinal relaxation times and both species possess identical T_1 times (Bain and Cramer, 1993).

4 Results and discussion

4.1 Electrochemical performance of the *in operando* electrolysis cell

- 300 The time dependent potential curves for the chronopotentiometry measurements are shown in Figure 6a. The potentials observed for both the *in operando* and the bulk cell are within the range reported in literature, as the values strongly depend on the properties of the catalyst and the electrolysis cell. CO₂ electrolysis starts at -1.33 V vs. Ag/AgCl for the *in operando* cell and at -1.23 V vs. Ag/AgCl for the bulk cell. However, three kinds deviations in types of deviations could be deduced from the potential curves for the *in operando* cell compared to the bulk cellwere identified. First, higher overpotentials are observed.
- 305 Second, it took longer for the in operando cell takes longer to equilibrate both when a t to equilibrate when the current is



Figure 6. (a) Time depended potential curves during the chronopotentiometry measurement(a). Electrolytic reduction of CO₂ starts at -1.33 V vs. Ag/AgCl for the *in operando* cell. Compared to the bulk cell, higher overpotentials are observed. Starting at 1 mA/cm², oscillations and increased noise appear, which are caused by stuck product gas bubbles. (b) Tafel plot of the electrolytic CO₂ reduction in the *in operando* electrolysis cell(b). The tafel-Tafel slope was determined in the low current density region as 148 mV per decade, resulting in a transfer coefficient of 0.38 at 10 °C.

applied and when it is switched off. Third, increasing oscillations in the potential and additional noise are observed, starting at -1 mA/cm².

The non-parallel geometry of the electrodes in the *in operando* cell may be causing the first two deviations. In-For a parallel geometry as employed in the bulk cell, the distance the distance between the working electrode to and the counter electrode

- is approximately constant across the whole WE surface. Thus, the iR drop is constant across the electrode and the surface 310 potential is uniform. However, in a non-parallel geometry, there is a spatially dependent iR drop between working and counter electrode, which leads to non-uniform potential distribution across the electrode surface. As a result, electrolysis preferably takes place at the closest region between working and counter electrode, where the iR drop is minimal. The CO_2 concentration in within that region decreases during electrolysis, and thus the concentration overpotential increases. At a point in time, When
- the concentration overpotential exceeds the increase in iR drop for a more distant region which results in the electrolysis taking 315 place at the electrolytic process shifts to that location.

For the electrode setup used in the *in operando* cell, the region edge of the silver sheet is the region of the working electrode closest to the counter electrodeis the edge the silver sheet. For a current density of -0.25 mA/cm², the electrolytic CO₂ reduction at the silver foil edge takes place at the same an identical overpotential compared to the bulk cell. However, the because of

- 320 the small area the electrolysis at the electrode edge is not sustainable because the small area and therefore the diffusive CO_2 transport becomes limited. As a result, the CO_2 concentration is depleted after 2 minutes of electrolysis. At this point, CO_2 reduction takes place at the next-nearest region to of the counter electrode, which is a portion of the silver foil plane, where CO_2 can be is more readily regenerated by diffusion. However, the iR drop at the silver foil plane are is increased compared to the edge , and thus the potential decreases.
- 325

With increasing current density, CO₂ is consumed at an increasing rate. Therefore growing portions conversion increases. Therefore an increasing area of the silver electrode surface with an increasing distance to the counter electrode participate in the electrolytic reductionreaction is used for reduction. This results in a rising iR drop, and the overpotential increases further and a further increasing overpotential compared to the bulk cell. This effect may also lead to the unstable potential cause the instability in potential of the *in operando* cell at a current density of -4 mA/cm². It is important to separate this effect from the expected increase in concentration overpotential with increasing current density, which was also observed for the bulk cell.

330

The oscillations and increased noise observed for the potential curve of the *in operando* cell at higher current densities are caused by the formation of gaseous products, i.e. CO and H₂, in the confined cell geometry. The gas bubbles tend to stick to the glass walls, the electrodes or the connection wires, until they grow to reach a sufficient size to detach and rise to the top. Diameters up to 1/3 the size of the size of the electrode surface were observed for the gas bubbles. As a result, the These

bubbles blocked significant fractions the electrodes from participating in the electrolysis reaction of the electrodes, thus affecting 335 the electrochemical measurements. For the bulk cellthe larger cell geometry didn't promote the gas bubbles to stick, and the larger electrode size was not, only a small percentage of gas bubbles adsorbed on the electrodes or the cell did not stuck due to the larger distance between the electrodes and the glass walls. Moreover, the larger electrode was affected by the comparatively smaller gas bubbles.

- For the *in operando* cell, the Tafel slope was determined as 148 mV per decade from <u>eurrent-voltage current-voltage</u> (IV) curves of the LSV experiments in the low current density region as shown in Figure 6b. In this region no mass transport limitations for the electrolytic reduction of CO_2 were foundoccurred. The slope the Tafel-plot of the Tafel plot translates to a charge transfer coefficient of $\alpha = 0.38$. From literature, values for the Tafel slope can range from 130 mV to 140 mV per decade, resulting in charge transfer coefficients of 0.41 0.45 for comparable systems <u>under room temperature</u>.
- 345 (Hori, 2008; Hori et al., 1987; Endrődi et al., 2017; Lu et al., 2014; Hatsukade et al., 2014; Hsieh et al., 2015). The small discrepancies at room temperature (Hori et al., 1987; Hatsukade et al., 2014; Lu et al., 2014; Hsieh et al., 2015). The minor discrepancy between measured and literature values may originate from the lower temperature at which the shown where experiments were performed, resulting in what results in a lower thermal energy for the activation processes and of processes and thus lower diffusion rates.
- 350 Overall, the *in operando* cell shows a comparable performance to a bulk electrolysis cell in the low current density range, *i.e.* below -1 mA/cm². Due to the non-parallel cell geometry there is a spatially dependent iR drop distribution across the working electrode surface, which is unfavorable for precise electrolysis experiments. To minimize this effect, an electrode with a small geometry was chosenSince this effect is more pronounced for larger electrode geometries it is crucial to reduce the working electrode size. However, a larger electrode area is beneficial for minimizing the current density during electrolysis and thus
- 355 the concentration overpotential. The 10 mm² electrode used in the *in operando* cell represents a compromise between both considerations. Nonetheless, the potential is becomes unstable at higher current densities , and electrochemical measurements may be distorted by gas bubbles stuck in the confined glass tube.

4.2 NMR Evaluation evaluation of the *in operando* electrolysis setup

The ${}^{13}C$ spectrum of CO_2 saturated electrolyte is shown in Figure 7a. The two Both signals in the spectra are assigned to HCO_3^-



Figure 7. ¹³C spectrum of the CO_2 saturated electrolyte without (a) and with (b) electrodes. The experiment Measurements with electrodes includes includes connection cables and a powered potentiostat, but no shielding. The peak positions of bicarbonate and solvated carbon dioxide are at 160.7 ppm and 124.7 ppm, respectively. Peak positions are shifted downfield by about 1.1 ppm when the conductive components are introduced. The spectrum in b) suffers from increased noise as well as from external RF signals, which are comparable in intensity to the CO_2 signal. The external RF signals have been marked with (*).

at 160.7 ppm and solvated CO₂ at 124.7 ppm (Holmes et al., 1998; Mani et al., 2006; Seravalli and Ragsdale, 2008; Abbott et al., 1982; Li

- (Abbott et al., 1982; Liger-Belair et al., 2003; Mani et al., 2006). The low solubility of carbon dioxide in water causes a weaker 360 signal compared to bicarbonate. No signal of gaseous carbon dioxide could be observed -as since the gas phase inside the NMR tube is outside of the sensitive volume of the RF coil. For a measured pH of 8.2 ± 0.15 after CO₂ aeration of the electrolyte, about one percent soluted of dissolved carbonate is expected. However, due to the fast exchange of HCO_3^- and CO_3^{2-} , signals for both species coalesced into one peak.
- 365

The concentrations of the carbon species in the CO_2 saturated electrolyte are shown in Table 1. During aeration of the electrolyte with CO_2 , the concentration the concentration of bicarbonate (HCO_3^-) increased by a factor of two. The measured concentration of CO_2 is within in the uncertainty limits of the theoretical equilibrium concentration in for aqueous solution $(c_{1013 \text{ hPa}, 10 \text{ °C}} = 52.7 \text{ mmol/L})$ (Sander, 2015; Dodds et al., 1956). This mM) (Sander, 2015). The uncertainty is caused by a combination of a weak signal-to-noise ratio of the DSS methyl group reference signal and imperfections of the temperature control ($< 1^{\circ}$ C).

Table 1. Concentrations of carbon species in CO₂ saturated electrolyte at 10 °C and 1013 hPa CO₂ pressure.

| Carbon species | Concentration [mol/LM] |
|------------------------|--------------------------------|
| | |
| HCO_{3}^{-} | 1.82 ± 0.14 |
| $\rm CO_2$ | $(55.0 \pm 4.4) \cdot 10^{-3}$ |
| Total carbon | 1.87 ± 0.14 |

370

As the solubility of CO_2 in water is low, the ¹³C signal of CO_2 is weak even when for using ¹³C labeled and fully saturated samples. It is therefore essential to optimize the signal-to-noise ratio before performing further experiments. To investigate the effect of the in operando setup on the ¹³C spectra, the signal-to-noise ratio of the HCO₃⁻ signal was determined for under different conditions (Table 2). Decreasing the temperature from 22 °C to 10 °C significantly improved the signal-to-noise ratio. As CO_2 possess shows a higher solubility at lower temperatures (cf. $c_{1013 \text{ hPa}, 22 \text{ °C}} = 38.0 \text{ mmol/L})$ 375 (Sander, 2015; Dodds et al., 1956)mM) (Sander, 2015), the signal-to-noise ratio of the CO₂ signal increased by a factor of ca. 1.4. The equilibrium constant for the CO_2/HCO_3^- equilibrium changed by only 1% due to the decrease in temperature. Therefore an increase in CO_2 concentration causes similar increases a similar increases of HCO_3^- in solution. The decrease of 12 °C also increases the equilibrium magnetization and thus reduces thermal noise what which led to an increase in the signal-to-noise ratio by of about 6%.

380

After introducing the electrodes into the magnet the signal positions shifted downfield by 1.1 ppm and line widths become became significantly broader but could be reduced down to about 1 Hz after by shimming except for a downfield shoulder. The signal-to-noise ratio of the spectrum was reduced significantly by 68%. As the concentration of the carbon species remained unchanged, the decrease in the signal-to-noise is a combined effect of increased noise levels and a reduced quality factor, Q, of the NMR circuit caused by the conductive components. As shown by in Figure 7b, the main contribution is the introduction

385

Table 2. signal-to-noise ratio of the ${}^{13}C HCO_3^-$ signal under different conditions.

400

405

| Temperature [°C] | Conditions | signal-to-noise ratio [-] |
|------------------|--|---------------------------|
| | No conductive material | 1247 |
| 22 | <i>In operando</i> cell | 397 |
| | In operando cell and connection cables | 290 |
| 10 | No conductive material | 1994 |
| | In operando cell and connection cables | 399 |
| | Full in operando electrolysis setup with shielding | 2510 |

390 therefore necessary to decrease RF noise originating from external sources in order to obtain signal-to-noise ratios comparable to experiments without conductive materials. Using just single elements <u>of</u> the shielding setup, *i.e.* only the copper plate for the top opening <u>of</u> the magnet, the silver cloth, the common ground, or the filters, does not restore the signal-to-noise ratio to original values.

First, as As a reference for the CO₂ saturated electrolyte, longitudinal relaxation times and exchange rates were determined using a standard NMR tube without the electrolysis setup. In a second step the electrodes and leads were present introduced but not connected. In the final step dataa was data were collected with the full electrolysis setup shown in Figure 3. The results all experiments All results are summarized in Table 3. The larger errors of the CO₂ rates are caused by a low signal-to-noise ratio of the carbon dioxide signal.

The errors the rates are caused by a low signal-to-noise ratio the carbon dioxide signal. Both species in the saturated electrolyte show similar values-

First, the changes in relaxation and exchange behavior after insertion of the electrodes are discussed. The longitudinal relaxation rates. The longitudinal relaxation rates times for HCO_3^- and CO_2 are remain unchanged within error boundaries after insertion the electrodes. On the other handcompared to the electrolyte without conductive material. However, the exchange time constant of the chemical equilibrium between CO_2 and HCO_3^- decreased from 4.555.23 s to 3.103.31 s. The decreased exchange time affects the transverse relaxation time constant and as a result decreases T_2 and T_2 time constant for both HCO_3^- and CO_2 . The change in exchange time is assumed to decreased after the introduction of the electrolysis cell. The faster chemical exchange between both carbon species can only be a minor contribution to the decreased T_2 values, in particular for HCO_3^- , as it is present in significantly higher concentrations than CO_2 . Additional contributions could be caused by an

Table 3. Relaxation and exchange times for bicarbonate and carbon dioxide without conductive materials, with <u>the disconnected</u> electrolysis cell, and <u>with</u> full electrolysis setup at 10 °C. The full electrolysis setup included the *in operando* cell, connection cables, a powered potentiostat and shielding equipment. For measurements using the electrolysis setup no electrochemical experiments were conducted.

| | | Without conductive materials | With electrolysis cell | With full electrolysis setup |
|-------------------------------|------------------------|--|-------------------------------------|-------------------------------------|
| HCO ₃ ⁻ | T_1 [s] T_2 [s] | $\begin{array}{c} 18.59 \pm 0.08 \\ 2.04 \pm 0.00 \end{array}$ | 18.56 ± 0.05 1.40 ± 0.00 | 12.25 ± 0.02 0.97 ± 0.00 |
| $\rm CO_2$ | T_1 [s] T_2 [s] | 20.15 ± 0.59 4.15 ± 0.11 | 19.55 ± 0.42 2.03 ± 0.05 | 13.99 ± 0.65 2.66 ± 0.21 |
| | $T_{\rm exc}$ [s] | 5.23 ± 0.18 | 3.31 ± 0.25 | 3.79 ± 0.37 |

interaction local motion in the vicinity of the electrode, which may exceed the mobility due to self-diffusion by several orders
 of magnitude higher (Benders et al., 2020). In the disconnected electrolysis cell setup, such an increased mixing could originate from concentration gradients of the electrolyte near the electrode surface due to double layer formation, possibly supported by convective flow from local heating of the electrolyte near the electrode surface. Eddy currents induced in the silver metal by the RF field of the NMR pulse excitation could cause such a local heating.

- The change in the exchange time is assumed to be an indirect effect of the interaction of HCO₃⁻ with the polarizable silver metal electrode surface. The interaction ions with metal surfaces by induction dipoles is reported in literature to extend up to 1 nm from the metal (Bonzel, 1988; Mendonca et al., 2012; Seitz-Beywl et al., 1992). To effect the bulk solution, the exchange between free ions and -metal complexes has to be sufficiently fast in addition to a high catalytic activity the /metal surface. On the one hand, the with the polarizable silver metal electrode surface. The positively charged metal surface, as observed in the absence of an external potential (Figure 6a), acts as a catalytic center for the CO₂/HCO₃⁻ equilibrium re-
- of the CO_2/HCO_3^- equilibrium are well known and important is well known for biological systems in form the form of the carbonic anhydrase enzymes. Carbonic anhydrase functions similarly by stabilization, which stabilize the negatively charged oxygen atoms by metal cations in a similar way during the CO_2/HCO_3^- exchange reaction and increases the reaction rate by six to seven orders of magnitude (Lindskog, 1997; Grisham and Garrett, 2010). On the other hand, the fast exchange
- 425 The interaction of ions with metal surfaces by induction of dipoles is reported in literature to extend up to 1 nm from the metal (Seitz-Beywl et al., 1992; Bonzel, 1988; Mendonca et al., 2012), which can regarded insignificant to cause the observed changes. However, in combination with an increased mixing between surface and bulk species may be caused the RF pulses which induce eddy current in the silver metal. These eddy currents increase the temperature the electrolyte in the electrode vicinity what in turn creates a convection flow and causing a mixing the solution, within the sample tube, as presumed from

Secondly, changes after connection of the full electrolysis setup are examined. During the measurements employing the full in operando electrolysis setup, the cell was connected to a the potentiostat. The potentiostat was powered on, but no electrochemical experiment was conducted. Therefore the cell operates in a open circuit voltage (OCV) mode , where no current

- 435 flows between the electrodes, with no current flow between electrodes but the voltage between the electrodes is continuously measured by the potentiostat. Similar Compared to the experiments where the electrolysis cell has been disconnected, with the disconnected electrolysis cell, a variation of the exchange time constant between carbon dioxide and bicarbonate remains unchanged within errorboundaries cannot be precluded but is within error. However, the longitudinal ¹³C relaxation time constant for bicarbonate and CO2 and the transverse relaxation time constant for bicarbonate were found to be smaller. As the
- 440 experimental setup inside the sensitive volume of the NMR coil remained unchanged, the leads and filters as well as the potentiostat may be the driving forces for the increased relaxation rates. The continuous voltage measurement Even the continuous voltage measurements by the powered potentiostat should not have a considerable influence, since the potentiostat input is terminated with high impedance. However, all voltage measurements cause a minuscule current flow between the cell and the potentiostat, thus this should not have a considerable influence on the double layer formation and mobility the mobility of the
- electroactive species may be affected. Nevetheless, since the potentiostat input is terminated with high impedance. Furthermore, 445 it is improbable that increased stochastic fluctuations of magnetic fields originating from the potentiostat are causing the increased relaxation rates in the relaxation rates. While powering on the potentiostat causes the potentiostat induces increased RF noise in the NMR experiment, these fluctuations are successfully removed by the filters described in subsection 2.3. therefore such a drastic effect on relaxation is not expected.
- 450 A more probable source for the altered relaxation behavior is the changed capacity of the electrode assembly. Cables and filters can contain or act as capacitors and can provide additional mass, which changes the capability of the setup to dissipate or provide electrons at the electrodes. As OCV is an electrostatic mode of operation, the assembly may act as a additional power supply an additional supply or sink of electrons and thus affect double layer formation. This in turn may affect the whole electrolyte, e.g. via by changing the equilibrium between the ionic species, which may lead to an altered pH which may 455 alter the pH of the system. This is known to sensitively affect relaxation properties for aqueous carbonate solutions (Moret
- et al., 2013). While a detailed analysis of these processes is outside the scope the current study, of the study it highlights the sensitivity of 13 C NMR to investigate fundamental processes occurring during CO₂ electrolysis, thereby justifying the efforts necessary to achieve sufficient sensitivity and resolution for *in operando* experiments. At the same time, this It also demonstrates the importance for a proper-properly designed electrolysis setup and measurement protocol to avoid unwanted side effects. 460

The results also show that any sort the measurement setup may affect an electrochemical system. While the in operando electrolysis setup does not disturb the NMR measurements, it can affect the state It can influence the state of the electrodes and thus their interaction interactions with the CO_2 saturated electrolyte. However, the NMR measurements the unconnected The NMR measurements with disconnected and connected electrolysis setup show that even the equipment which is imperative 465 <u>necessary equipment</u> for electrochemical testing <u>ean-may</u> affect the equilibrium state <u>of</u> the electrolysis. This is particularly pronounced at <u>very</u> low current densities <u>as or at</u> OCV.

4.3 In operando NMR of the OCV evolution

The ¹³C NMR spectra of the aqueous HCO_3^-/CO_2 sample during OCV and the potential between working and reference electrode are shown as a function of time in Figure 8. The current density between working electrode and counter electrodes



Figure 8. Time evolution of the ¹³C signals for HCO_3^- (a) and CO_2 (b) during the OCV stage versus electrochemical potential <u>between</u> working and <u>reference electrode</u> and current density <u>between</u> working and <u>counter electrode</u>. In each sub panel the time dependent potential and current density is shown on the left , and with the corresponding spectra are <u>shown given</u> on the right. After a relaxation period , the potential remains at a stable potential at 47 mV.

470 and counter electrode remains fixed at 0 mA/cm² during measurements. Therefore, no gaseous products were formed during this study. During the first 5 hours of the experiment the potential drops from -31 mV to -42 mV vs. Ag/AgCl. After 12 hours the potential plateaued at -45 mV vs. Ag/AgCl and approaches equilibrium of -47 mV vs. Ag/AgCl after 17 hours.

The¹³C NMR peaks resonances of HCO_3^- and the CO_2 are at the same remained at the initial position compared to the reference measurements. A narrow main peak with resonance with a broader shoulder persisted throughout the OCV stage.

Fitting both signals with a Lorantzian to a Lorentzian line shape, a peak separation of 0.04 ppm (6.1 Hz) is obtained at 14.1 T) is obtained. The shoulder peak is assumed to be caused by B_0 field distortions in the proximity of the working electrode, which cannot be easily corrected by shimming.

The HCO_3^- signal drifted downfield about 7 ppb shifted downfield about 0.007 ppm during the first 5 hours (Figure 8a), whereas the CO_2 signal shifted by 2 ppb 0.002 ppm (Figure 8b). Therefore, the evolution the signal position is not caused by

- 480 of the two signal positions appears not only to be caused by extrinsic factors such as a magnet drift. The integral thesignal (a) drops by 1%. The After 12 hours, he HCO₃⁻ signal integral increases has increased by *ca*. 1% compared to the initial intensity (Figure 9a). The evolution of the HCO₃⁻ signal position and the intensity imply an evolution of the CO₂/HCO₃⁻/CO₃²⁻ equilibrium since a higher chemical shift is associated with an increase of the CO₃²⁻ concentration (Abbott et al., 1982).
- The intensity of the CO_2 peak continuously decreases during the OCV stage. After 12 hours the CO_2 signal integral de-485 creased to 78% of the initial value (Figure 9b). Using 55.0 mmol/L as the mM as initial concentration, as was determined in the reference experiment, this equals a concentration of 42.9 mmol/L mM. After 25.6 hours of OCV, the CO_2 signal intensity



Figure 9. Time evolution of the HCO_3^- (a) and CO_2 (b) signal integrals during the OCV stage. The integrals were normalized to their maximum values during the *in operando* experiment. Error boundaries are shown in blue. The HCO_3^- signal fluctuates within the 1% range, while the CO_2 signal decreases significantly in intensity over the 12 hour period, down to 78% of its maximum value.

decreased to 62% (34.1 mmol/LmM). This behavior is unexpected as no CO_2 has been converted by electrolytic processes during the OCV stage.

Leakage such a large amount of CO_2 gas during the NMR experiment is unlikely . Permeation in these amounts. Permeation of carbon dioxide through the polypropylene tube cap or the glue used for sealing can be excluded, as the CO_2 gas permeability for these materials is low (Hasbullah et al., 2000). Furthermore, any CO_2 loss should be compensated by the $CO_2/HCO_3^$ equilibrium reaction, thus decreasing the HCO_3^- concentration. However, no sustained decrease in HCO_3^- concentration is was observed. Furthermore, the total amount of all carbon species is unchanged after 12 hours OCV, and therefore of OCV. Therefore, no CO_2 was lost to the environment from the setup.

It can be therefore concluded that initially, These observations indicate that the CO₂ saturated electrolyte is not at equilibrium , which can be confirmed by the following considerations in the initial state of the experiment. Directly after preparation, the pH value of the electrolyte was 8.2±0.15. Given a total concentration of 1.87 mol/L-M for all carbon species, the equilibrium concentration of solvated CO₂ at that pH value is 33.7 mmol/L. Thus mM. Therefore, the initial CO₂ concentration of 55.0 mmol/L mM is above the equilibrium value. The CO₂/HCO₃⁻ system reaches approaches equilibrium at the end of the 500 OCV experiment , when where the CO₂ concentration reaches equals 34.1 mmol/L mM.

All changes in the HCO_3^- and CO_2 signal integrals and the HCO_3^- signal position occur in accordance to the variations with the variations of the potential during OCV. Changes in HCO_3^- and CO_2 signal are associated with a shift in equilibrium, what indicates an shift in the electrochemical potential during the OCV stage caused by an evolution of the $CO_2/HCO_3^-/CO_3^{2-}$ equilibrium, concentrations towards equilibrium.

505

All_The relaxation and exchange times time constants of CO_2 and HCO_3^- during the OCV stage are given in Table 4. Compared to the test-reference measurement of the *in operando* cell, the exchange time slightly decreased after the system approached electrochemical equilibrium, which corresponds to the increased concentration. The decomposition rate bicarbonate, *i.e.* the inverse the exchange time, equilibrium. The decrease in the exchange time is linearly proportional to the concentration.



 Table 4. Relaxation and exchange times time constants for bicarbonate and carbon dioxide during OCV. Experiments were conducted after the initial 12 hour OCV period.

| | T_1 [s] | T_2 [s] | $T_{\rm exc}$ [s] | |
|-----------------|----------------|---------------|-------------------|--|
| | | | | |
| HCO_3^- | 11.80 ± 0.03 | 0.78 ± 0.01 | 2.65 ± 0.28 | |
| CO_2 | 13.18 ± 0.71 | 2.15 ± 0.25 | 2.03 ± 0.28 | |

cess and decreases T_2 time constants. Longitudinal relaxation time constants, as discussed for the reference measurements. T_1 decreased only slightly as result a result of the change in equilibrium and are overall comparable to the test of the *in operando* setup. Slight decreases in relaxation times may be the result of small variations in the electrolysis setup assembly (see reference measurements).

515 5 Conclusions

In this study, This study presented a setup for the in *in operando* NMR study of the electrochemical CO₂ reductionwas developed, which was, specifically designed to observe molecular dynamics changes in changes in molecular dynamics in the proximity to the working electrode. It was shown that ¹³C relaxation, exchange rates and chemical shifts can be used to sensitively characterize an electrochemical system. A key feature the *in operando* of the setup is the suppression of noise and external radio frequency signals that were introduced by conductive materials, enabling the observation of low concentration species. Relaxation and exchange experiments provide a sensitive probe for the interaction ionic species and of ionic species with metal electrodes under different electrochemical conditions. The results those experiments-indicated that the electrochemical measurement equipment itself may affect a the reaction and molecular dynamics. Finally, A quantitative interpretation of the data requires carefully step-by-step reference measurements and a distinction between intrinsic effects.

525 caused by the investigated electrochemical system and extrinsic effects induced by the electrolysis setup. *in operando* NMR was used employed to monitor an aqueous CO_2/HCO_3^- system for electrolytic CO_2 reduction at open circuit voltage. It was revealed, that, revealing that an (electro-)chemical equilibrium in solution evolves for considerable time after sample preparation.

Author contributions. Each author contributed to this work as follows. S. Jovanovic developed the *in operando* cell and setup with assistance
of P. Schleker, P. Jakes and J. Granwehr. NMR experiments were performed by S. Jovanovic. B₁ field simulations were performed by M. Streun. Orientation dependent nutation experiments were performed by Michael Schatz. Data analysis and interpretation were performed by S. Jovanovic in collaboration with P.Schleker, P. Jakes, S. Merz, J. Granwehr and R.-A. Eichel. The manuscript was written in collaboration by S. Jovanovic, S. Merz, J. Granwehr and R.-A. Eichel. All authors have read and agreed to the manuscript.

Competing interests. The authors declare no conflicts of interest.

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