



# 1 Four-dimensional NOE-NOE spectroscopy of SARS-CoV-2 Main Protease to facilitate

## 2 resonance assignment and structural analysis

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- 22 This study is dedicated to Robert Kaptein on the occasion of his  $80^{th}$  birthday





ABSTRACT. Resonance assignment and structural studies of larger proteins by NMR can be 24 challenging when exchange broadening, multiple stable conformations, and back-exchanging the 25 26 fully deuterated chain pose problems. These difficulties arise for the SARS-CoV-2 Main Protease, a homodimer of 2×306 residues. We demonstrate that the combination of four-dimensional (4D) 27 28 TROSY-NOESY-TROSY spectroscopy and 4D NOESY-NOESY-TROSY spectroscopy provides 29 an effective tool for delineating the <sup>1</sup>H-<sup>1</sup>H dipolar relaxation network. In combination with detailed structural information obtained from prior X-ray crystallography work, such data are 30 31 particularly useful for extending and validating resonance assignments, as well as for probing 32 structural features.

#### 33 1 Introduction

34 The extension of conventional two-dimensional <sup>1</sup>H-<sup>1</sup>H NMR spectroscopy of natural proteins 35 (Wüthrich, 1986) to three-dimensional (3D) homonuclear NMR experiments offered the ability to both simplify spectral analysis by removing resonance overlap (Vuister et al., 36 1988;Oschkinat et al., 1988) and by providing access to a direct, more detailed analysis of <sup>1</sup>H-37 38 <sup>1</sup>H dipolar cross relaxation networks. In particular, the homonuclear 3D NOE-NOE 39 experiment (Boelens et al., 1989;Breg et al., 1990) not only decreased resonance overlap, it directly elucidated spin-diffusion pathways. This information complemented and validated 40 41 the elegant relaxation matrix analysis of spin diffusion (Boelens et al., 1988).

Such homonuclear <sup>1</sup>H 3D experiments and analysis strategies were soon followed by a 42 43 myriad of heteronuclear 3D experiments that required isotopic enrichment, and therefore cloning and bacterial overexpression (Marion et al., 1989b;Zuiderweg and Fesik, 1989;Ikura 44 et al., 1990; Marion et al., 1989a; Wagner, 1993). Most of these heteronuclear experiments 45 46 simply served to disperse the regular <sup>1</sup>H-<sup>1</sup>H 2D spectrum into a third dimension, thereby 47 removing spectral overlap but providing little or no new information on the all-important <sup>1</sup>H-<sup>1</sup>H spin diffusion pathways. The 3D NOESY-HMQC experiment (Marion et al., 48 49 1989b;Zuiderweg and Fesik, 1989) subsequently was extended to four dimensions (4D), thereby dispersing the conventional 2D <sup>1</sup>H-<sup>1</sup>H NOESY experiment into two additional 50 51 dimensions that correspond to the chemical shifts of the nuclei to which each of the protons 52 is covalently bound (Kay et al., 1990;Clore et al., 1991;Zuiderweg et al., 1991).





These multi-dimensional experiments provided a tremendous degree of spectral 53 54 simplification, in particular once appropriate analysis software became available. However, 55 it also quickly became clear that extension to large, slowly tumbling proteins was hampered 56 by low signal-to-noise, caused by the relative inefficiency of the magnetization transfer steps 57 when the dimensionality of a spectrum is increased. This decrease in sensitivity was 58 remedied by generating the protein in a highly perdeuterated state, while keeping the 59 solvent-exchangeable backbone amide protons protonated (Torchia et al., 1988;Lemaster and Richards, 1988). Combining the perdeuteration approach with the triple resonance 60 61 assignment strategy(Grzesiek et al., 1993) and the subsequently introduced powerful TROSY 62 line-narrowing method (Pervushin et al., 1997) made it possible to assign and analyze the 63 structure of quite large proteins, as exemplified by the 723-residue protein malate synthase G (Tugarinov et al., 2002;Tugarinov et al., 2005). The sensitivity gained by perdeuteration, 64 enabling the recording of 4D 15N-separated NOE spectra, was key in solving the structure of 65 a HIV-1 accessory protein that had been too challenging for analysis by more conventional 66 67 methods (Grzesiek et al., 1995).

In the present report, we merge the above mentioned prior advances, 3D NOE-NOE and 3D 68 69 <sup>15</sup>N-separated NOESY into a 4D experiment in combination with perdeuteration to study 70 M<sup>pro</sup>, the main protease of SARS-CoV-2 which is the virus responsible for coronavirus-2019 disease (COVID-19). M<sup>pro</sup>, also known as 3CL<sup>pro</sup> or Nsp5, is a homodimeric cysteine protease 71 72 of  $2 \times 306$  residues that does not have closely related mammalian homologues and is therefore an intense target for drug development. Its NMR analysis is challenging, not only 73 74 for its large size (67.6 kD), but also because of the presence of a minor conformer associated 75 with the cis-isomer of one of its 13 Pro residues (P184), the difficulty in back-exchanging all 76 backbone amide protons when the protein is expressed in D<sub>2</sub>O, and the presence of 77 intermediate time scale motions that lead to exchange broadening in the vicinity of the 78 protein's active site. Here we focus on a mutant where the catalytic Cys residue has been 79 mutated to Ala (C145A), a construct that is stable for multiple weeks at the high 80 concentrations required for NMR spectroscopy. The assignment process and a full structural 81 analysis of the protein will be presented elsewhere. The focus of the present work is on 82 technical innovations, including recording two types of 4D NOE-based NMR spectra, that





- 83 proved invaluable both for the validation of the resonance assignments, as well as the
- 84 subsequent structural analysis.
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### 86 2. Methods and Experiments

87 2.1 Protein production

The gene encoding a C145A variant of Mpro (Mpro<sub>C145A</sub>) with an N-terminal affinity (and 88 89 solubility), tag was synthesized by GenScript (USA) and then cloned into a Pet24a+ plasmid 90 between BamH1 and Xho1 restriction sites. The fusion protein encoded for 6His tag -GB1-SG rich linker-TEV cleavage site - M<sup>pro</sup>, and was purified according to methods collectively 91 92 developed by the COVID-19 NMR consortium (Altincekic, 2021). In brief, the cell lysate was 93 passed down a 6His-affinity column (IMAC) and eluted in a small volume; the solubility tag was 94 cleaved off to generate a native N-terminus; the reaction mix was then passed through an IMAC 95 column to remove uncleaved protein, before size separation on a Sephadex G75 column. 96

97 2.2 Recording of NMR data

98 Spectra were acquired on a sample containing 1.8 mM (0.9 mM dimer)  ${}^{2}$ H,  ${}^{15}$ N of C145A M<sup>pro</sup> in 99 10 mM sodium phosphate, pH 7.0, 0.5 mM TCEP, 3% v/v  ${}^{2}$ H<sub>2</sub>O and 0.3 mM sodium 100 trimethylsilylpropanesulfonate (DSS), in a 300 µL Shigemi microcell. All experiments were 101 recorded at 25 °C on an 800 MHz Bruker Avance III spectrometer, equipped with a 5-mm TCI 102 probe containing triple-axis gradient, and running TopSpin software version 3.1.

103 For the 4D TROSY-NOESY-TROSY experiment (Fig. 1a), the full data matrix consists of 1536\* 104  $({}^{1}\text{H}, t_{4}, 119.8 \text{ ms}) \times 90^{*} ({}^{15}\text{N}, t_{3}, 35.1 \text{ ms}) \times 91^{*} ({}^{1}\text{H}, t_{2}, 20.0 \text{ ms}) \times 90^{*} ({}^{15}\text{N}, t_{1}, 35.1 \text{ ms})$  complex points. Nonuniform sampling was applied for the indirect dimensions, with unweighted, randomly 105 106 distributed sampling points without time-ordering. Specifically, a total of 31896 FIDs along the 107 directly detected  $t_4$  dimension were recorded, corresponding to a sampling sparsity of 0.54%. 108 Using 4 scans per FID for phase cycling, and an interscan delay of 2 seconds, the total 109 measurement time was approximately 88 hours. Nonstandard processing was needed for the 110 TROSY-NOESY-TROSY experiment because the spectrum was recorded with sensitivityenhanced gradient selection in the <sup>15</sup>N t<sub>1</sub> evolution period that preceded the NOE mixing. 111 112 Specifically, the 4D NUS data set was first sorted and expanded according to the sampling







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Figure 1. Pulse schemes for four-dimensional TROSY-NOESY-TROSY (a) and NOESY-114 NOESY-TROSY (b) experiments. The filled and open rectangular bars on the <sup>1</sup>H and <sup>15</sup>N channels 115 116 represent 90° and 180° pulses, respectively. The filled shaped <sup>1</sup>H pulses correspond to EBURP2 (labeled e) and time-reversed EBURP2 (labeled e\*) pulses (Geen and Freeman, 1991) while the 117 open <sup>1</sup>H shaped pulses represent 90° water-flipback pulses (center lobe of a sinc profile, 1.1-ms 118 119 duration at 800 MHz) (Grzesiek and Bax, 1993). The wide filled rectangular boxes denote 90° 120 water-flipback pulses (also 1.1-ms duration at 800 MHz). Unless indicated otherwise, all pulses 121 were applied along x. The following delays were used for the initial INEPT and TROSY transfers:  $\delta = 2.1$  ms,  $\delta_1 = 2.1$  ms,  $\delta_2 = 2.5$  ms. The <sup>1</sup>H chemical shift evolution during the 122 delay  $\tau = 0.181$  ms was compensated by offsetting the last pair of <sup>1</sup>H and <sup>15</sup>N 180° pulses 123 by  $\varepsilon = \tau/2$  to avoid the linear phase error in the t<sub>4</sub> dimension. For (a): NOE mixing time T<sub>m</sub> = 200 124 125 y; gradients were sine bell or rectangular shaped, as depicted in the figure, with durations 126  $G_{1,2,3,4,5,6,7,8,9,10,11,12,13,14,15} = 0.977, 1.2, 0.4, 0.4, 0.986, 0.977, 0.081, 1.7, 0.977, 1.2, 0.4, 0.4, 0.986, 0.986, 0.977, 0.081, 0.986, 0.977, 0.081, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986, 0.986,$ 127 128 0.977, and 0.081 ms, z-strengths of 21.7, 28.7, -25.9, 32.9, 2.1, 25.9, 29.4, 30.8, 21.7, 28.7, -31.5, 129 38.5, 2.1, 25.9, and 35.0 G/cm, and additional x- and y-strength of -22.5, 27.5, and 25.0 G/cm for  $G_{11}$ ,  $G_{12}$ , and  $G_{15}$ , respectively. The duration of decoding pulses  $G_7(G_{15})$  was empirically 130 optimized for maximum signal, and can differ from the theoretical value derived from the 131 132 gyromagnetic ratios of <sup>15</sup>N and <sup>1</sup>H and the encoding pulses  $G_3+G_4$  ( $G_{11}+G_{12}$ ) by several 133 microseconds due to rise and fall times of short gradient pulses. Quadrature detection in  $t_3$  ( $t_1$ ) was 134 achieved using the echo-antiecho scheme (Kay et al., 1992) by inverting the encoding gradient  $G_{11}$ 135 and  $G_{12}$  ( $G_3$  and  $G_4$ ) together with  $\phi_6$  and  $\phi_7$  ( $\phi_4$  and  $\phi_5$ ) to obtain the second FID for every  $t_3$  ( $t_1$ ) 136 increment. The t<sub>2</sub> dimension was acquired using States-TPPI by incrementing  $\phi_2$  by 90°. For (b), 137 the EBURP2 and time-reversed EBURP2 pulses have a duration of 1.0 ms at 800 MHz, centered 138 at 8.3 ppm. NOE mixing times,  $T_{m1} = 50$  ms;  $T_{m2} = 300$  ms. Phase cycling:  $\phi_1 = x, x, -x, -x, \phi_2 =$  $x-\pi/4$ ,  $\phi_3 = y$ , -y,  $\phi_4 = y$ ,  $\phi_5 = y$ ,  $\phi_{rec} = y$ , -y, -y, y. Gradients were sine bell or rectangular shaped 139





140 with durations G<sub>1,2,3,4,5,6,7,8,9</sub> = 1.7, 1.2, 0.977, 1.2, 0.4, 0.4, 0.986, 0.977, and 0.081 ms, z-strengths 141 of 20.3, 30.8, 21.7, 28.7, -31.5, 38.5, 2.1, 25.9, and 35.0 G/cm, and additional x- and y-strengths 142 of -22.5, 27.5 and 25.0 G/cm for G<sub>5</sub>, G<sub>6</sub>, and G<sub>9</sub>, respectively. The duration of G<sub>9</sub> was empirically 143 optimized for maximum signal. Quadrature detection in t<sub>3</sub> was achieved using the echo-antiecho 144 scheme by inverting the encoding gradient  $G_5$  and  $G_6$  together with the  $\phi_4$  and  $\phi_5$  to obtain the 145 second FID for every t<sub>3</sub> increment, while States-TPPI was used to obtain quadrature in the 146 t<sub>1</sub> dimension, by incrementing the  $\phi_1$  pulse phase by 90°, and for t<sub>2</sub> by incrementing  $\phi_2$  by 90°. 147 Pulse sequence code is available in the Supporting Information.

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149 schedule using the nusExpand.tcl script within the NMRPipe software package. The expanded data 150 was then converted to the NMRPipe format, with the quadrature mode for t<sub>3</sub> set to Echo-AntiEcho, 151 while the quadrature mode for  $t_1$  was temporarily set to Complex. After the conversion, the 4D 152 matrix needs to be transposed to enable use of the NMRPipe macro bruk ranceA.M to correctly reshuffle the data, turning the phase-modulated  $t_1$  dimension into conventional amplitude 153 154 modulated data prior to processing as regular, complex data. This transposition is accomplished 155 by reading in the NMRPipe-formatted matrix with the z-axis along the t<sub>2</sub> dimension, application 156 of the macro, and restoring the data to its original axis order prior to regular processing, with the 157 full script available as Supporting Information. For the processing, the direct dimension was 158 apodized with a squared, shifted sine bell window, spanning from 72° to 176.4°, in addition to 15 Hz exponential line broadening, followed by zero filling and Fourier transformation. 159 160 Subsequently, the indirect data points that were not experimentally sampled were reconstructed 161 using the SMILE program, and the reconstructed data was further processed in NMRPipe. To 162 enhance the spectral resolution, by default the acquisition times in all indirect dimensions were 163 extended by 50% during the SMILE reconstruction, leading to an effective sampling sparsity of 164 0.068%. The data matrix for the final reconstructed 4D spectrum consists of 614 ( $^{1}$ H, F<sub>4</sub>, 6.3 Hz/point) × 512 (<sup>15</sup>N, F<sub>3</sub>, 5.0 Hz/point) × 512 (<sup>1</sup>H, F<sub>2</sub>, 8.9 Hz/point) × 512 (<sup>15</sup>N, F<sub>1</sub>, 5.0 Hz/point) 165 166 real points.

167 The time domain data matrix of the 4D NOESY-NOESY-TROSY experiment (Fig. 1b) consists 168 of 1536\* (<sup>1</sup>H, t<sub>4</sub>, 95.8 ms) × 90\* (<sup>15</sup>N, t<sub>3</sub>, 35.1 ms) × 60\*(<sup>1</sup>H, t<sub>2</sub>, 12.0 ms) × 60\* (<sup>1</sup>H, t<sub>1</sub>, 12.0 ms) 169 complex points. An unweighted, random NUS sampling scheme with a sparsity of 1.69% 170 (corresponding to 43856 t<sub>4</sub> FIDs) was used. Using an interscan delay of 1.7 s and 4 scans per FID, 171 the total experimental time was approximately 110 hours. The data was processed and 172 reconstructed in the same manner as described above, yielding the effective sparsity of 0.21% and





- a final spectral matrix size of 492 (<sup>1</sup>H, F<sub>4</sub>, 7.8 Hz/point)  $\times$  512 (<sup>15</sup>N, F<sub>3</sub>, 4.7 Hz/point)  $\times$  512 (<sup>1</sup>H, 173 174  $F_2$ , 13.9 Hz/point)  $\times$  512 (<sup>1</sup>H,  $F_1$ , 13.9 Hz/point) real points. Note that since in the NOESY-175 NOESY-TROSY experiment the data was recorded using the echo-antiecho mode (Kay et al., 176 1992) only in the t<sub>3</sub> dimension, immediately preceding acquisition, the bruk\_ranceA.M macro was 177 not needed after the conversion of the expanded NUS data. The residual inphase axial peaks along 178 the  $F_2$  dimension were treated as real peaks and optimally reconstructed by SMILE to suppress the 179 sampling artifacts of the axial signals from spreading to the regions with NOE peaks. The 180 processing macros used for both 4D spectra are included as Supporting Information. 181 2.3 Spectrum analysis
- Spectra were processed using nmrPipe software (Delaglio et al., 1995); peak picking and spectrum analysis was performed using SPARKY software (Goddard and Kneller, 2008;Lee et al., 2015) as well as NMRDraw (Delaglio et al., 1995). Programs for visualization and analysis were written using freely available python libraries (Hunter, 2007;Harris et al., 2020), as well as NMR-specific
- 186 python libraries (Helmus and Jaroniec, 2013).
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## 188 **3 Results and Discussion**

- Two types of complementary 4D NOE experiments were recorded: (1) 4D TROSY-NOESY-TROSY and (2) 4D NOESY-NOESY-TROSY (Fig. 1). While the former is very similar to the HMQC-NOESY-TROSY experiment used recently for a single  $\alpha$ -helical domain with a long rotational correlation time (Barnes et al., 2019), the 4D NOESY-NOESY-TROSY experiment extends earlier work by Kaptein and co-workers (Boelens et al., 1989;Breg et al., 1990).
- 194 3.1 Recording and analysis of the 4D TROSY-NOESY-TROSY spectrum
- 195 The rotational correlation time of  $M^{Pro}$  at 25 °C is *ca* 27 ns, and consequently transverse 196 relaxation is rapid for both <sup>15</sup>N and <sup>1</sup>H<sup>N</sup>. For this reason, it proved beneficial to substitute a 197 TROSY element for the HMQC segment that was previously used for such measurements (Kay et al., 1990;Barnes et al., 2019). Even though the TROSY element only utilizes half of the 198 amide <sup>1</sup>H<sup>N</sup> magnetization present at the start of the pulse sequence, combining its <sup>15</sup>N 199 200 evolution with sensitivity-enhanced gradient selection during the subsequent t<sub>2</sub> evolution period (Fig. 1a) limits the loss to  $\sqrt{2}$ , or even somewhat less when taking the gain from the 201 202 <sup>15</sup>N Boltzmann magnetization into account (Pervushin et al., 1998). Combined with the





203 enhanced relaxation properties during t<sub>1</sub> and t<sub>2</sub> evolution of the TROSY-selected coherence, 204 we found experimentally that spectral quality attainable for M<sup>Pro</sup> with the 4D TROSY-NOESY-205 TROSY was better than with the HMOC-NOESY-TROSY version of the experiment. Figure 2 206 shows expanded regions of six  $(F_1, F_2)$  cross-sections through the 4D spectrum, orthogonal to 207 the  $(F_3,F_4)$  frequencies of the six amide correlations that are highlighted in the regular 2D <sup>1</sup>H-208 <sup>15</sup>N TROSY-HSQC spectrum of Figure 2A. The cross sections exemplify the power of such 209 analysis for three types of secondary structure:  $\alpha$ -helix (Fig. 2b,c),  $\beta$ -sheet (Fig. 2d,e), and a 210 loop region (Fig. 2f,g).

211 Due to the long NOE mixing time used in this experiment (200 ms), substantial spin diffusion 212 occurs which results in numerous NOE correlations for each amide. For example,  $\alpha$ -helical 213 residues L232 and M235 not only show NOE interactions with one another, but also share 214 NOE cross peaks to V233 and A234, with M235 even showing a weak cross peak to N231. 215 Such correlations are particularly useful for validating the assignments obtained from the 216 limited number of triple resonance backbone assignment experiments that are applicable to 217 larger proteins.

The amides of L67 and Q69 in strand  $\beta$ 4 only share a single NOE, to sequential residue V68, but they show valuable long-range NOEs to amide protons in strands  $\beta$ 1 (C22) and  $\beta$ 5 (L75). G195 and D197, located in the long loop that connects strand  $\beta$ 13 to helix  $\alpha$ 6, have an NOE to one another as well as sequential NOEs, but show no long-range interactions, consistent with the X-ray structure (Douangamath et al., 2020). However, NOEs from L67 or Q69 to T21 or Q19 are not observed, despite close proximity, due to the minimal back exchange of amide protons in the  $\beta$ 1 strand.

225 It is interesting to compare the diagonal peak intensities in these various cross sections of 226 the TROSY-NOESY-TROSY spectrum. Diagonal intensity is a function of the amount of amide 227 <sup>1</sup>H z magnetization present at the start of the pulse sequence, i.e., it depends on the non-228 selective longitudinal relaxation time of the amide proton, but also on the attenuation of this 229 magnetization during the NOE mixing time, in other words, on the selective longitudinal 230 relaxation time which is dominated by I(0) spectral density terms. The latter dominate the 231 differences in diagonal intensity seen in the various cross sections. For example, the helical 232 amides of L232 and M235 rapidly lose their magnetization to their proximate sequential





- amide neighbors, separated by *ca* 2.7 Å, that each are in close contact with other neighboring
  protons. By contrast, none of the L67, Q69, G195 and D197 amides are closer than 3.7 Å from
  any neighboring protonated amide in the 1.4-Å X-ray structure of M<sup>Pro</sup> (Douangamath et al.,
  2020), causing their diagonal intensities to remain high.
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Figure 2. Illustration of amideamide NOEs in perdeuterated, amide-protonated SARS-CoV-2 Main Protease, observed by 4D TROSY-NOESY-TROSY. (a) Ribbon diagram depicting the backbone homodimeric X-ray structure (pdb entry 6Y84), with colors marking the regions that are highlighted in  $(F_1,F_2)$ cross sections taken at the (F<sub>3</sub>,F<sub>4</sub>) coordinates of (c) L232 and M235 (blue); (d) L67 and Q69 (green) and (e) G195 and D197 (red). These resonances are marked in the 800 MHz TROSY-HSQC





### 262 3.1 Recording and analysis of the 4D NOESY-NOESY-TROSY spectrum

As highlighted by the work of Kaptein and co-workers, 3D NOE-NOE experiments provided an effective method for studying the <sup>1</sup>H-<sup>1</sup>H cross relaxation network in proteins in more detail. Here, we extend this powerful experiment to four dimensions, making it more straightforward to analyze such a spectrum, while limiting the relaxation pathways by perdeuteration of the protein.

268 The pulse scheme of this 4D NOESY-NOESY-TROSY is shown in Figure 1b. It represents a straightforward extension of the original NOE-NOE 3D experiment (Boelens et al., 1989), but with 269 the detection period substituted by the gradient-enhanced 2D <sup>1</sup>H-<sup>15</sup>N TROSY scheme (Pervushin 270 et al., 1998). The latter enhances the attainable spectral resolution in the  $t_3$  and  $t_4$  dimensions, 271 while dispersing the detected <sup>1</sup>H<sup>N</sup> resonances in the <sup>15</sup>N dimension. A number of minor technical 272 273 considerations are also relevant in this respect. (1) First, in order to maximize the number of  $(t_1, t_2)$ 274 t<sub>2</sub>, t<sub>3</sub>) data points sampled, the phase cycling of the 4D experiment was reduced to 4 steps, and the 275 observed spectral window was restricted to the region downfield of the H<sub>2</sub>O resonance. To prevent 276 bleeding in of several weaker imperfectly deuterated aliphatic or exchangeable resonances present 277 in the upfield spectral region, selective EBURP and reverse-EBURP pulses (Geen and Freeman, 278 1991) were used to also restrict the regions where <sup>1</sup>H resonances were excited to those resonating 279 downfield from the water resonance. (2) Recording of a 4D NMR spectrum at adequate resolution 280 requires the use of non-uniform sampling (NUS) (Rovnyak et al., 2004). High quality NUS 281 reconstruction of a 4D NMR spectrum can be accomplished rapidly by the SMILE program (Ying 282 et al., 2017) but this as well as most other NUS reconstruction software performs better if the 283 various time domains are acquired in a manner that results in either a 0° or a 180° linear phase 284 correction across the spectrum. For this purpose, and to ensure that the non-suppressed axial peaks 285 can be optimally reconstructed, which requires  $0^{\circ}$  linear phase correction, it was preferable to insert 286 a non-selective composite <sup>1</sup>H inversion pulse, followed by a second such pulse that reverses any 287 phase imperfections introduced by the first composite pulse (Hwang et al., 1997). Specifically, the 288  $\phi_1$  phase cycling serves to eliminate axial peaks in the t<sub>1</sub> dimension caused by pulse imperfection 289 as well as  $T_1$  relaxation and amide exchange with solvent during  $T_{m1}$ , while also suppressing axial 290 peaks in the  $t_2$  dimension resulting from  $T_1$  relaxation and water exchange during  $T_{m2}$ . To minimize 291 the number of phase cycling steps,  $\phi_2$  was not phase cycled. However, this resulted in small





292 residual axial peaks along the  $t_2$  dimension caused by pulse imperfections. To ensure that these 293 residual axial peaks were absorptive in the final spectrum, facilitating optimal SMILE 294 reconstruction, an echo is generated by the application of two composite <sup>1</sup>H 180° pulses in order 295 to suppress initial chemical shift evolution at  $t_2=0$ , thereby eliminating the need for a linear phase 296 correction. Considering that the real and imaginary components of the residual axial signals have 297 the same amplitude, leading to a 45° phase error for them in the F<sub>2</sub> dimension, the  $\phi_2$  pulse was 298 phase-shifted by  $-45^{\circ}$  to ensure that the phase of the NOE peaks matches that of the axial peaks, 299 enabling a frequency-independent 45° correction to phase both types of peaks to the absorptive 300 mode.

Compared to the 4D TROSY-NOESY-TROSY pulse scheme, the 4D NOESY-NOESY-TROSY 301 302 experiment avoids the lossy magnetization transfer step from <sup>1</sup>H to <sup>15</sup>N and back. Instead, its 303 magnetization is simply transferred, in part, to its nearest neighbors by cross relaxation during the first NOE mixing period of duration  $T_{m1} = 50$  ms. There is virtually no loss in total 304 spin polarization summed over the initial "starting spin", whose t<sub>1</sub> evolution is monitored, 305 and those of its immediate neighbors that are within cross-relaxation contact. As a result, 306 307 the intrinsic sensitivity of such NOESY-NOESY-TROSY measurements is quite high, allowing 308 the choice of a long duration of 300 ms for the second NOE mixing time,  $T_{m2}$ . During this 309 second, much longer mixing time, the z magnetization distributes over considerable 310 distances due to indirect transfers (Figure 3). Even in this fully perdeuterated protein, NOEs 311 to nearly a dozen neighboring protons are observed on the diagonals of the  $(F_1,F_2)$  cross 312 sections, taken at the same (<sup>15</sup>N, <sup>1</sup>H) frequencies used for illustrating the power of the 4D 313 TROSY-NOESY-TROSY spectrum of Figure 2. However, as pointed out by Boelens et al. and 314 Breg et al. (Boelens et al., 1989;Breg et al., 1990), the NOE-NOE combination offers a wealth 315 of new information on the cross-relaxation pathways that led to the long-distance NOEs, 316 tremendously facilitating both the assignment and analysis of distance information. Below, 317 we briefly highlight a few examples.

318 As expected,  $\alpha$ -helical residue L232 shows intense cross peaks to both of its sequential 319 neighbors, N231 and V233, as well as a weaker cross peak to F230. Despite the relatively 320 short mixing time of only 50 ms that separates t<sub>1</sub> and t<sub>2</sub> evolution, the latter must result







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Figure 3. (F<sub>1</sub>,F<sub>2</sub>) cross sections through the 4D NOESY-NOESY-TROSY spectrum of M<sup>Pro</sup>, taken 322 orthogonal to the (F<sub>3</sub>,F<sub>4</sub>) TROSY-HSOC plane at the <sup>15</sup>N,<sup>1</sup>H frequencies of (a) L232, (b) M235, 323 324 (d) L67, (e) Q69), (g) G195, and (h) D197. These cross sections show diagonal resonances for 325 amide protons that are within long-range contact of the selected amide, either through direct or indirect NOE transfer during the two mixing periods that have a total duration of 50+300 ms. Off-326 327 diagonal resonances in these cross sections correspond to NOE magnetization transfer during the 328 50-ms mixing period that separates the  $t_1$  and  $t_2$  evolution periods. Colors match those of the 329 corresponding residues in Figure 2. Expanded views of the structural elements (pdb entry 6Y84) that gave rise to the observed NOEs are shown in panels (c), (f), and (i). 330

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mostly from indirect transfer through N231, because N231 and F230 share an intense cross peak. So, in effect each cross section through the 4D spectrum shown in Figure 3 corresponds to a 2D NOESY spectrum of a small localized region within the protein structure, making its analysis far simpler. For residues with few neighbors, direct NOE contacts between neighbors separated by as much as 4.5 Å give rise to quite intense cross peaks after 50 ms NOE mixing, as exemplified by the contacts between G195 and its A194 and T196 neighbors (Fig. 3g). A weaker cross peak between G195 and D197, at an interproton distance of 6.4 Å,





appears not to be mediated by spin diffusion because the G195 and D197panels (Fig. 3g)
show no common strong NOE to any visible resonance. However, the possibility that the

341 hydroxyl proton of T196 serves as a relay partner cannot be excluded.

The NOESY-NOESY-TROSY spectrum also shows a number of NOEs to sidechain amide protons that are not visible in the TROSY-NOESY-TROSY spectrum because the TROSY element does not select magnetization transfer for NH<sub>2</sub> groups. For example, D197 shows long-range NOEs to the N133 carboxamide protons, whereas Q69 shows NOEs to both its own carboxamide protons and to those of Q74. The non-equivalent NH<sub>2</sub> pairs are readily recognized by cross-peak to diagonal peak intensity ratios that are close to one, owing to their short interproton distance.

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### 350 4. Concluding remarks

351 The spectra shown in this study were recorded during the summer of 2020, when access to campus facilities was strongly restricted due to COVID-19 pandemic mitigation efforts. 352 These restrictions allowed for much lengthier acquisition of spectra than commonly used, 353 354 for a total of eight days for the two 4D spectra. As a benefit of NUS reconstruction, it is 355 possible to generate spectra of the same resolution recorded in any fraction of that time. 356 Alternatively, we can discard the data recorded at the longest values of  $t_1$ ,  $t_2$ , and  $t_3$ . Indeed, 357 processing the same time domain data sets but shortening the time domains using a 358 previously described protocol that considers the total normalized length of the 3D  $(t_1, t_2, t_3)$ 359 time domain vector (Ying et al., 2019), using only one third of the acquired time domain data 360 yields spectra that are very similar to the ones shown in Figures 2 and 3, albeit at slightly 361 lower resolution and signal-to-noise, due to the use of three-fold less time domain data. 362 Nevertheless, the quality of the resulting spectra remains excellent (Supporting Information 363 Fig. S1 and S2).

As pointed out by Kaptein and co-workers, recording of NOE-NOE spectra provides important experimental data on the pathway of magnetization transfer during NOE mixing. Such information potentially can be used to convert this data into more quantitative distance information than is commonly done, providing access to generating higher resolution structures than is usually achieved with the qualitative interpretation of NOE intensities





(Vogeli et al., 2009;Vogeli et al., 2012). Quantitative NOE interpretation traditionally relied 369 on the recording of a series of NOE buildup data, which can become comparably time-370 371 consuming as the recording of 4D NMR spectra if resonance overlap is a limiting factor, as 372 typically is the case for NOE spectra. This problem is further exacerbated by the spectral 373 crowding of large proteins, particularly in the <sup>1</sup>H dimension, and while 3D spectra may give higher signal to noise ratios than 4D spectra, downstream analysis frequently requires 374 extensive disambiguation of overlapped peaks. Our study of M<sup>Pro</sup> shows that a large number 375 376 of semi-quantitative NOE distances become accessible by recording of 4D NMR spectra on a 377 perdeuterated larger protein with little or no ambiguity about the nuclei involved. 378 While the high signal to noise and spectral simplicity of working with perdeuterated proteins

- 379 has long been recognized (Torchia et al., 1988:Lemaster and Richards, 1988:Grzesiek et al., 380 1993; Tugarinov et al., 2004) the number of structural restraints accessible used to be small. 381 Our present study demonstrates that a much larger number of NOE interactions becomes 382 accessible by the recording of 4D NOE spectra. Moreover, it highlights the exquisite detail 383 and value of NOE-NOE interaction analysis explored by the Kaptein group and it 384 demonstrates that this approach is highly suitable for the larger biomolecules and biomolecular complexes being explored today, even with extensive perdeuteration. 385 386 Therefore, we believe that the recording of high quality 4D NMR spectra of the type presented 387 in this study is entirely practical and invaluable for the structural and functional analysis of 388 large proteins and their complexes, with possible extension to the study of nucleic acids.
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395 Author contributions. AJR expressed and purified protein samples, collected and analyzed the

396 data, and edited the manuscript; JY optimized pulse sequence parameterization and processing and

397 edited the manuscript; AB supervised the project and wrote the manuscript.

398 **Competing interests.** The authors declare that they have no conflict of interest.





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